

PREA Facility Audit Report: Final

Name of Facility: Western Massachusetts Reentry Center (WMRC)

Facility Type: Community Confinement

Date Interim Report Submitted: NA

Date Final Report Submitted: 03/09/2023

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
Auditor Full Name as Signed: Jack Fitzgerald	Date of Signature: 03/09/2023

AUDITOR INFORMATION	
Auditor name:	Fitzgerald, Jack
Email:	jffitzgerald@snet.net
Start Date of On-Site Audit:	12/14/2022
End Date of On-Site Audit:	12/15/2022

FACILITY INFORMATION	
Facility name:	Western Massachusetts Reentry Center (WMRC)
Facility physical address:	1150 Riverdale. Street, West Springfield, Massachusetts - 01089
Facility mailing address:	

Primary Contact	
Name:	Quratulain Reha
Email Address:	qreha@crj.org
Telephone Number:	413-750-9724

Facility Director	
Name:	Quratulain Reha
Email Address:	qreha@crj.org
Telephone Number:	413-750-9724

Facility PREA Compliance Manager	
Name:	
Email Address:	
Telephone Number:	

Facility Characteristics	
Designed facility capacity:	34
Current population of facility:	23
Average daily population for the past 12 months:	23
Has the facility been over capacity at any point in the past 12 months?	No
Which population(s) does the facility hold?	Both females and males
Age range of population:	21-65+
Facility security levels/resident custody levels:	Minimum
Number of staff currently employed at the facility who may have contact with residents:	14
Number of individual contractors who have contact with residents, currently authorized to enter the facility:	0
Number of volunteers who have contact with residents, currently authorized to enter the facility:	0

AGENCY INFORMATION	
Name of agency:	Community Resources for Justice
Governing authority or parent agency (if applicable):	
Physical Address:	355 Boylston Street, Boston, Massachusetts - 02116
Mailing Address:	
Telephone number:	

Agency Chief Executive Officer Information:	
Name:	Deborah M. O'Brien
Email Address:	dobrien@crj.org
Telephone Number:	857-408-6211

Agency-Wide PREA Coordinator Information			
Name:	Heriberto Crespo	Email Address:	hcrespo@crj.org

SUMMARY OF AUDIT FINDINGS

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:	
1	<ul style="list-style-type: none"> 115.215 - Limits to cross-gender viewing and searches
Number of standards met:	
40	
Number of standards not met:	
0	

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2022-12-14
2. End date of the onsite portion of the audit:	2022-12-15

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	The Auditor spoke with the local rape crisis agency, with the local Hospital, the funding source representative, referring authorities who were on site during the audit and the Massachusetts DOC PREA Coordinator who serves as an outside reporting option for clients.

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	34
15. Average daily population for the past 12 months:	23
16. Number of inmate/resident/detainee housing units:	1

<p>17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)</p>
--	---

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

<p>36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:</p>	<p style="text-align: center;">16</p>
<p>38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:</p>	<p style="text-align: center;">0</p>
<p>39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:</p>	<p style="text-align: center;">0</p>
<p>40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:</p>	<p style="text-align: center;">0</p>
<p>41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:</p>	<p style="text-align: center;">0</p>

42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0
43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	0
44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:	0
45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:	0
46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:	1
47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:	0

<p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>The Auditor saw all available residents (twelve) over the course of two days. Many residents are out working long hours and even with the Auditor on site over 12 hours on day one and a early report the next day there was no way to get more individuals. The individuals who the facility identified with disabilities were in fact individuals with injuries but would not be perceived as disabled. The Auditor met with individuals who go to mental health services in the community though none appeared to be cognitively impacted or perceived as disabled. Most had employment in the community. The Auditor took a balance of individuals across the program, both male and female and individuals across the various housing rooms.</p>
<p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p>	
<p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>14</p>
<p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>0</p>
<p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>0</p>
<p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p>	<p>No text provided.</p>

INTERVIEWS

Inmate/Resident/Detainee Interviews

Random Inmate/Resident/Detainee Interviews

53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:	11
54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)	<input checked="" type="checkbox"/> Age <input checked="" type="checkbox"/> Race <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input checked="" type="checkbox"/> Length of time in the facility <input checked="" type="checkbox"/> Housing assignment <input checked="" type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None
55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?	The Auditor interviewed all available residents. I looked in addition to those identified by the program as potential target interviews for both male and females, considered how long they were in the program and looked at race/ethnicity to see if there were any LEP individuals. The Auditor spoke with residents out of all rooms being used.
56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?	<input checked="" type="radio"/> Yes <input type="radio"/> No

<p>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>Due to the lack of target populations the auditor added additional random including two of three females in the program on day 1.</p>
<p>Targeted Inmate/Resident/Detainee Interviews</p>	
<p>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>1</p>
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
<p>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The facility identified individuals with injuries instead of disabilities that would make them targets for abuse. The Auditor did speak with the individuals but counted as random population.</p>
<p>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>There were individuals who go to counseling in the community but no one with significant impairment. The individuals had jobs or were seeking employment outside the facility. The Auditor did speak with them but counted them as random interviews.</p>
<p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input checked="" type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>none were found in my interactions.</p>
<p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>None were found in my interactions.</p>
<p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The facility did not report any individual as LEP. The Auditor interviewed individuals who were Hispanic or Asian to see if they were asked at admission if they preferred the documents in a language other than English.</p>
<p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>No individual self-identified during the interviews when the auditor asks them how they identify themselves.</p>
<p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>No individual self-identified during the interviews when the auditor asks them how they identify themselves.</p>
<p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>No individual self-identified during the interviews when the auditor asks them how they identify themselves. The Agency and its funding source denied any incidents in the past year.</p>
<p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>1</p>

<p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>NA</p>
<p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>As a Community Confinement facility many of the residents are focused on work and are out of the facility for many hours trying to make money to afford a stable living situation upon release.</p>
<p>Staff, Volunteer, and Contractor Interviews</p>	
<p>Random Staff Interviews</p>	
<p>71. Enter the total number of RANDOM STAFF who were interviewed:</p>	<p>8</p>

<p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p>	<p><input type="checkbox"/> Length of tenure in the facility</p> <p><input type="checkbox"/> Shift assignment</p> <p><input type="checkbox"/> Work assignment</p> <p><input type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p>
<p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p>
<p>a. Select the reason(s) why you were unable to conduct the minimum number of RANDOM STAFF interviews: (select all that apply)</p>	<p><input type="checkbox"/> Too many staff declined to participate in interviews.</p> <p><input type="checkbox"/> Not enough staff employed by the facility to meet the minimum number of random staff interviews (Note: select this option if there were not enough staff employed by the facility or not enough staff employed by the facility to interview for both random and specialized staff roles).</p> <p><input type="checkbox"/> Not enough staff available in the facility during the onsite portion of the audit to meet the minimum number of random staff interviews.</p> <p><input type="checkbox"/> Other</p>
<p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>The Auditor spoke with all individuals who worked the facility during the two-day audit. Some other staff completed specialized interviews which reduced the number of available staff for random interviews.</p>

Specialized Staff, Volunteers, and Contractor Interviews

Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.

75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):	5
76. Were you able to interview the Agency Head?	<input checked="" type="radio"/> Yes <input type="radio"/> No
77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?	<input checked="" type="radio"/> Yes <input type="radio"/> No
78. Were you able to interview the PREA Coordinator?	<input checked="" type="radio"/> Yes <input type="radio"/> No
79. Were you able to interview the PREA Compliance Manager?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff

	<input checked="" type="checkbox"/> Intake staff <input type="checkbox"/> Other
81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
83. Provide any additional comments regarding selecting or interviewing specialized staff.	<p>The facility was in transition with a relatively new manager. Some of the categories were completed using individuals repeatedly.</p>

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
--	--

Was the site review an active, inquiring process that included the following:

85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

- Yes
 No

86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?

- Yes
 No

87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?

- Yes
 No

88. Informal conversations with staff during the site review (encouraged, not required)?

- Yes
 No

89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).

The Auditor interacted with staff and residents in both a formal setting of the interview space and in informal discussions in other spaces in the facility. The Auditor reviewed the signage for readability and placement and asked residents and staff about the information provided.

Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?

- Yes
 No

91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).

The Auditor reviewed both staff records and client records as part of the review process. The records included current and former clients and from the current staff including the most recent hires.

SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:	0
a. Explain why you were unable to review any sexual abuse investigation files:	no cases

<p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
---	--

Inmate-on-inmate sexual abuse investigation files

<p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>0</p>
--	----------

<p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
--	---

<p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
--	---

Staff-on-inmate sexual abuse investigation files

<p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>0</p>
---	----------

<p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
---	--

<p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
---	--

Sexual Harassment Investigation Files Selected for Review

<p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
--	----------

<p>a. Explain why you were unable to review any sexual harassment investigation files:</p>	<p>no cases</p>
---	-----------------

<p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
---	---

Inmate-on-inmate sexual harassment investigation files

<p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
---	----------

<p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
---	--

<p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p>Staff-on-inmate sexual harassment investigation files</p>	
<p>111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>0</p>
<p>112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p>
<p>113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)</p>
<p>114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.</p>	<p>there have been no incidents in the year prior to the site visit.</p>

SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

Yes

No

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

Yes

No

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

The audited facility or its parent agency

My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

A third-party auditing entity (e.g., accreditation body, consulting firm)

Other

Standards

Auditor Overall Determination Definitions

- Exceeds Standard
(Substantially exceeds requirement of standard)
- Meets Standard
(substantial compliance; complies in all material ways with the stand for the relevant review period)
- Does Not Meet Standard
(requires corrective actions)

Auditor Discussion Instructions

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

115.211	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>PREA Coordinator Training</p> <p>CRJ Organizational Chart</p> <p>Individuals interviewed/ observations made.</p> <p>Contract Oversight Manager</p> <p>PREA Coordinator</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Community Resources for Justice has a policy that mandates zero tolerance toward sexual assault or sexual harassment at all its facilities. Policy 900 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) include the statement, “CRJ has a zero-tolerance stance towards all forms of sexual abuse and sexual harassment and is applicable to residents, staff, volunteers, visitors, and contractors. The zero-tolerance stance includes education, prevention, detection, and responding to sexual abuse and sexual harassment incidents immediately.” The policy outlines the Western Massachusetts Reentry Center’s and the agency’s efforts to prevent, detect, and respond to sexual abuse or sexual harassment incidents. The 23-page policy covers different aspects of protecting, detecting, and responding to sexual abuse or sexual harassment incident. Interviews with random residents supported that a zero-tolerance environment exists at Western Massachusetts Reentry Center. Residents support that staff addresses negative behaviors. In Interviews with the Auditor, residents reported that if they were to voice a concern, they believed it would be taken seriously and stated the environment is safe from sexual misconduct. In random staff interviews, they were able to identify key information from training and give examples of things they do in their job that supports a Zero-tolerance culture. The Western Massachusetts Reentry</p>

Center is a converted hotel space with separate rooms each with internal bathrooms. The program has common spaces for residents for eating, doing laundry, and a TV Room. Of the current population interviewed the residents confirmed that sexualized behaviors do not exist, and that staff would address inappropriate language or topics of conversation.

Indicator (b). Community Resources for Justice has an individual assigned to oversee the agency's efforts toward compliance with the Prison Rape Elimination Act (PREA). Heriberto Crespo is the Agency's PREA Coordinator. Mr. Crespo is the Agency's Assistant Director of Standards and Quality Assurance (SQA). The PREA Coordinator works with the Social Justice Services Division's senior leadership to track incidents, support identified needs, and ensure all investigations are completed consistently with agency expectations and standards requirements. Both the PREA Coordinator and Contract Oversight Manager confirm the PREA Coordinator's ability to develop and implement policies and procedures to further ensure residents' sexually safe confinement across the agency. As the Assistant Director of Quality Assurance, Mr. Crespo has routine dealings with the residential directors, including the Western Massachusetts Reentry Center Director. His role also has him performing quality assurance audits of PREA standards. The agency provided the Auditor with the agency management flowchart and a letter confirming his agency-wide role as PREA Coordinator.

Compliance Determination

The Agency's PREA Policy 900 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) support zero-tolerance expectations toward any form of sexual assault or sexual harassment. Policy 900.00 goes on to address the role and responsibilities of the PREA Coordinator (page 3). Interviews with the Contract Oversight Manager and the PREA Coordinator confirm sufficient resources in place to prevent, detect, and respond to any allegation of sexual abuse or sexual harassment. The Policy addresses numerous aspects of the agency's efforts to provide a zero-tolerance environment. The other supporting documentation provided confirms the PREA Coordinator's role in ensuring compliance with the standards. Western Massachusetts Reentry Center residents confirmed the safety of the program and would feel safe addressing concerns with staff. The Auditor also considered the staff members' knowledge of PREA training and zero-tolerance expectations in determining compliance. Compliance was based on the policy, interviews, and supporting documentation that confirmed the standard's expectation. The residents' comments on safety and the staff's knowledge of training further supported that a zero-tolerance culture exists.

115.212	Contracting with other entities for the confinement of residents
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>CRJ Agency Website</p> <p>Individuals interviewed/ observations made.</p> <p>PREA Coordinator</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center is not a public agency; it is a contracted facility funded by Massachusetts Adult Probation. It does not subcontract beds to any other vendor.</p> <p>Indicator (b). Western Massachusetts Reentry Center is not a public agency; it is a contracted facility funded by Massachusetts Adult Probation. It does not subcontract beds to any other vendor.</p> <p>Indicator (c). Western Massachusetts Reentry Center is not a public agency; it is a contracted facility funded by Massachusetts Adult Probation. It does not subcontract beds to any other vendor.</p> <p>Compliance Determination</p> <p>The standard is compliant. Currently, there is no subcontract of beds with any other agency. Western Massachusetts Reentry Center is part of the Community Resources for Justice, a private non-profit organization. Information was confirmed through discussions with the Agency PREA Coordinator and Auditor's review of the agency website.</p>

115.213	Supervision and monitoring
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Western Massachusetts Reentry Center Staffing Plan w/ floorplans & camera locations</p> <p>CRJ Annual PREA Report</p> <p>CRJ Board Meeting Minutes/ budget approval</p> <p>PREA Coordinator Memo on the review process</p> <p>Individuals interviewed/ observations made.</p> <p>PREA Coordinator</p> <p>Western Massachusetts Reentry Center Director</p> <p>Random Residents</p> <p>Funding agency representative</p> <p>Observation of Staffing consistent with the schedule</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center has developed a narrative staffing plan that describes the number of staff per shift to provide adequate supervision of the residents in promoting a safe environment. The facility provided two narrative documents and memo and a PowerPoint. The PowerPoint addresses the facility's physical layout and the location of cameras that support active supervision. The 11-page document addresses the various elements required in indicators (a) and (c). In speaking with the agency leadership, it is clear they take into consideration all incidents, not just PREA events, in deciding staffing and video surveillance needs. The staffing plan was guided by the contractual guidelines of the Massachusetts Probation Department and standards promulgated by the American Correctional Association. The agency's staffing plan covers staffing</p>

assignments, the physical plant's layout, the placement of cameras, and identifying blind spots. The document also covers the current makeup of the population and the frequency of PREA-related incidents. The facility's designed capacity is 34 beds. The facility was operating in the first 10 months with an average of 23 residents (19 males, 4 females). The Auditor observed staff moving about the building completing tours. The Director was aware of blind spots and described the expectation of staff to respond if a resident lingers in this space. CRJ requires one staff in the office to be able to monitor the cameras at all times except in exigent circumstances. Staff were also able to show how they use cameras to track the movement of the residents in the program in the monitors' office.

During the onsite portion of the audit, the Auditor was able to see the cameras' locations and the positioning of offices that support residents' supervision. The facility has had no allegations of sexual assault in the past year. The Director was able to point out where the facility added cameras to improve supervision. Policy 900.00 (page 4) addresses this indicator's elements by defining the staffing plan's content expectations. Interviews with the facility Director and the Contract Oversight Manager further support knowledge of the elements to be considered initially and in an annual review. The Auditor also reviewed the staffing schedule, including the non-custodial positions, to compare against client schedules. This supports those additional resources that are available to monitor interactions when there is larger movement in the facility. Western Massachusetts Reentry Center has staff offices on housing floors to provide additional eyes and ears as to resident interactions. The Auditor also reached out to speak with the funding agency to determine if there were any staffing concerns.

Indicator (b). The indicator does not apply as the facility has not reportedly gone under minimum staffing. Consistent with national trends the Western Massachusetts Reentry Center has seen an increase in staff turnover during the pandemic. Western Massachusetts Reentry Center Director reports though, they did not have a situation where they have not met the facility's minimum staffing level. The Program Director reports they can mandate coverage or request volunteers in an emergency to provide support. The Director reports they try to avoid requiring staff to stay and adjust administrative staff schedules to ensure minimums are met. The Human Resources staff confirmed the facility has had turnover but actively are recruiting staff. All case management staff are trained to complete resident monitor functions and can fill in as staff coverage when needed. Policy 900.00 Page 4 states, "If a deviation ever occurs in the staffing plan, it is documented, and the reason for noncompliance is justified." The program has a minimum complement of 2 staff. The program prefers having a staff of both genders on at all times.

The staffing plan document shows that monitors are available on all shifts. The schedule also shows that case management and administrative staff who are not normally part of the minimum calculation have regular work hours, including night and weekend hours to aid in increased supervision when most residents are in the facility. The Facility Director reported if the program was at risk of being below the

minimum, the Director, or others had staff come to provide relief if necessary. The facility has an on-call duty officer who will ensure all call-outs are covered and documented.

Indicator (c). Western Massachusetts Reentry Center has a process in place by which the Director reviews the existing plan for adequacy in providing a safe environment for residents. In an interview with the Auditor, the Program Director stated she considers the safety of clients most important in the program which is in a rented space adjacent space to a working hotel. The PREA Coordinator also confirmed that the administration would be consulted on any long-term changes and additions of resources such as video surveillance equipment. Documentation was provided supporting a review meeting completed in May of 2022 that included the PREA Coordinator and is part of PowerPoint's last page. Contract Oversight Manager and Program Director confirm that immediate solutions would be put in place to resolve identified risks from incident reviews or investigations. The agency will invest in monitoring technology as needed to provide safety and security measures such as alarmed perimeters to ensure no unauthorized entrance occurs. The Contract Oversight Manager confirmed the current location has challenges and the agency is looking for a long-term solution in which they own and can complete appropriate renovations which would include PREA Concerns such as lines of sight and blind spot reduction.

Compliance Determination

Western Massachusetts Reentry Center is compliant with the expectations of the standard. The facility had a written plan that discusses the elements described in indicator (a) and a process for the annual review of staffing and technological needs to support residents' safe management. Interviews support regular discussions between facility and Agency management and an expectation to resolve identified concerns immediately. Agency policy 900.00 Staff and Resident Sexual Misconduct put forth requirements consistent with the standard's language. Residents supported the environment is safe, and staff is available. Compliance is based on documentation provided, policy, interviews, and Auditor's observation during the two-day visit.

115.215	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse</p> <p>Policy 1.4.5 Searches</p> <p>Policy 2.4.5 Urine Collection</p> <p>Moss Group training materials Guidance on Cross Gender and Transgender Pat Searches</p> <p>Training on Admission of Transgender, Intersex or Gender Non-Conforming Individuals</p> <p>Training Rosters</p> <p>Individuals interviewed/ observations made.</p> <p>Program Director</p> <p>Random Staff</p> <p>Random Residents</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center has a policy prohibiting a resident's cross-gender strip or body cavity searches. Community Resources for Justice has eliminated all strip searches of clients in its community-based environments. CRJ policy 900.00 Staff and Resident Sexual Misconduct states, "CRJ authorizes only one type of body search, a pat frisk." The Auditor was also provided with a copy of the facility search policy (1.4.5 Searches), which had consistent language prohibiting such searches. Interviews with administration, random staff, and residents confirm no instances of a strip or body cavity search. Because the facility requires urine samples to be observed, the Auditor checked the policy and practice as part of determining compliance. The facility requires the same-gender staff to observe the collections of urine samples for drug testing. Policy 2.45 Urine Collection (page 2) requires "Only a staff member of the same sex shall collect urine specimens for analysis from a resident." The Auditor asked random staff-related questions about how this process occurs, including if cross-gender observations</p>

would ever occur. Residents interviewed confirmed that the same-gender staff always collects urine samples and that they are never required to be unclothed in front of any staff. The agency has also used oral tests with transgender individuals in the past. The OAS documentation also confirmed there were no cross-gender strip or body cavity searches.

Indicator (b). Western Massachusetts Reentry Center serves both male and a small number of female residents. The agency does not allow for cross-gender pat searches of Western Massachusetts Reentry Center residents, even in exigent circumstances. Policy 900.00 states, "Pat frisk searches will be conducted by gender, male staff to male resident and female staff to a female resident."

Interviews with residents confirm that cross-gender pat searches have not occurred. Female residents also confirmed that they have not been prohibited from attending programming or outside opportunities due to a lack of female staff to complete searches. Agency practice is if a male staff was working at the Western Massachusetts Reentry Center facility, wand searches would occur if the female staff was occupied with other duties or the resident would be asked to wait until the staff is free. The facility practice is the same for female staff searching a male resident. The residents further confirmed that they are never prohibited from attending programming or employment due to the lack of female staff. Pat searches, like urine testing, are required to be same gender staff as the resident. Interviews with random staff at Western Massachusetts Reentry Center also confirmed that cross-gender pat searches of female residents would not be permitted. Western Massachusetts Reentry Center Director confirmed that in the past 12 months, female residents were not prevented from attending outside programming due to the lack of female staff. The Auditor's interview with random residents confirmed the same-gender practices of the Western Massachusetts Reentry Center. As such, there were no documents for the Auditor to review of exigent circumstances. All Pat frisk searches are supposed to be done on camera.

Indicator (d). Community Resources for Justice, Policy 900.00 Staff and Resident Sexual Misconduct, has language that addresses this indicator's requirements. The policy protects residents from being viewed in any state of undress except in incidental view on security rounds. The Policy states, "Residents at the program are able to shower, perform bodily functions, and change clothing without a staff of the opposite gender viewing their buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine room checks." "Staff of the opposite gender announces their presence when entering a resident room or bathroom where residents are likely to be showering, performing bodily functions, or changing clothes. (page 9)." The Auditor observed opposite-gender staff making announcements before entering bedrooms or bathrooms at Western Massachusetts Reentry Center. During the tour, the Director knocked on each door announcing herself before opening the door. She would pause again and repeat the announcement once the door was ajar before entering the room. This same process was repeated as the bathroom are inside each bedroom in this converted hotel. The Auditor also confirmed with residents that they could shower, use the bathroom

facilities, and get changed without the opposite gender staff seeing them. Western Massachusetts Reentry Center residents also supported that both male and female staff knock and announce before entering resident rooms or bathrooms. Because each room has an internal bathroom staff expressed the caution they take to avoid incidental viewing while on tours including opposite-gender resident rooms.

Indicator (e). The Western Massachusetts Reentry Center Director and random staff interviewed confirmed they would not search an individual to determine genital status. Policy 900.00 (page 9) states, "Staff are prohibited from searching or physically examining a transgender or intersex resident for the sole purpose of determining the resident's genital status." As noted in indicator a) the facility does not perform any strip searches of clients. The Intake and Release Coordinator reports that if a person's genital status were unknown, they would ask them. Western Massachusetts Reentry Center is a community confinement facility; all admissions are scheduled, and residents' information would likely be obtained in advance. There were no current Transgender individuals in the population in the past year.

Indicator (f). The Community Resources for Justice ensures all staff at Western Massachusetts Reentry Center have been trained in performing cross-gender searches or searches of transgender individuals. Staff reports they have been trained to search residents with the back of their hands, be aware of the past trauma the resident might have had, and respectfully communicate with the resident before they come in contact with their clothing. Random staff confirmed that they had received the training on searches and were able to describe what they learned. Training records and training materials provided confirm they have received appropriate training. The Agency uses the resources created by the Moss Group on cross-gender and transgender searches.

Compliance Determination.

The agency has policies that consistently address the standard requirements (Policies, 1.4.5, 2.4.5, 900.00). Community Resources for Justice have implemented a policy of no strip searches or body cavity searches and no cross-gender pat searches (Policies 1.4.5 and 900.00). The agency and facility management confirm they have been able to manage security issues in a community confinement setting while avoiding more intrusive and potentially traumatic practices of cross-gender searches of any type. Interviews with staff confirm they have been trained on how to respectfully search Transgender or Intersex residents. Intake staff confirmed no searches are performed to determine genital status and that strip searches do not occur at Western Massachusetts Reentry Center. Staff knew that transgender or intersex residents should be searched by the gender staff of the individual's preference.

<p>The Auditor finds Western Massachusetts Reentry Center compliant with the standard expectations on limited cross-gender searches or viewing. Staff and residents both confirmed there are no strip searches as a practice and no cross-gender pat searches. The staff have been provided appropriate training on the search of transgender individuals. The Auditor also confirmed with the residents the agency's practice of same-gender staff being present when urine samples are being secured for drug testing. The facility policy, observations of the physical plant, and observations made of staff practice support residents are able to shower, perform bodily functions, and get change without opposite gender staff seeing them. Residents' support staff provide appropriate notice before entering the bedroom or bathroom areas. The Auditor finds that the standard has been exceeded. All elements required have been met as discussed above; the Auditor believed Western Massachusetts Reentry Center exceeds the standard by creating an environment in which residents feel safe while removing all strip searches and cross-gender pat searches.</p>

115.216	Residents with disabilities and residents who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Policy 1.1.6 Intake Process</p> <p>Day interpretive services contract</p> <p>Dayinterpretiveservice.com website</p> <p>Resident Handbook (Large Print)</p> <p>Referral Paperwork/ Intake Paperwork</p> <p>Memo for Director on Language Line use</p> <p>TTY machine</p> <p>YWCA of Western Massachusetts of Massachusetts website</p> <p>Language Bank Website</p> <p>Individuals interviewed/ observations made.</p> <p>Contract Oversight Manager</p> <p>Random Staff</p> <p>Random Residents</p> <p>Indicator Summary determination.</p> <p>Indicator (a) The Community Resource for Justice’s PREA Policy (900.00) and the Intake Policy (1.1.6) require the identification of populations who may have difficulty in understanding information. The PREA Policy (pages 6-7) requires facility staff to ensure residents understand, regardless of disability or language barriers, the facility’s efforts to maintain a PREA-safe environment. This includes how to keep oneself safe, the facility's zero-tolerance stance, how to report a concern, and how</p>

to access treatment. As a Reentry facility, admissions come from the Massachusetts Department of Corrections or the state's Probation Offices. As a result, Western Massachusetts Reentry Center receives information in advance about residents with significant medical issues/disabilities or other mental health disorders that may impact PREA scoring. The Intake/Release Coordinator sits with each new resident and screens for any missed medical information or other factors that may impair their understanding of the facility rules, including the zero-tolerance policy toward Sexual Abuse and Sexual Harassment. This screening would help identify those who have comprehension or limited reading ability. The Auditor had the Intake and Release Coordinator describe the steps she takes to provide initial education on PREA as well as the questions being asked as part of the PREA screening process. He asked her to describe how she would handle individuals with disabilities or language barriers to ensure comprehension.

The PREA Coordinator confirms the agency can provide written materials to clients in various formats and languages as needed. The facility supports individuals with a range of disabilities and has an ADA-compliant bedroom and bathroom facility. The Auditor was provided copies of the Resident Handbook in English and Spanish and in large print. The tour showed the posting of PREA information in multiple languages and confirmed with the residents they have continual access to PREA information as required in 115.233. The program has TTY for individuals who are deaf. The agency's experience supporting individuals with developmental and intellectual disabilities has positioned itself with resources to support clients with those issues and an ability to provide training specific to working with that clientele. The agency provides programming for these populations in another division of the agency. Absent residents with physical and cognitive disabilities, residents confirmed there are staff available with whom individuals could ask and receive assistance in comprehension or accessing any part of Western Massachusetts Reentry Center's efforts to keep them safe from sexual abuse or sexual harassment. The Auditor also confirmed the local rape crisis agency can also communicate through a TTY to clients.

Indicator (b). Western Massachusetts Reentry Center has signage up related to PREA and other important information in both English and Spanish, the most common languages spoken by their population historically. Intake paperwork and handbooks can be translated into multiple languages as needed. The agency has provided access to interpretive services through an online system through Dayinterpreting.com. The on-demand system is a telephonic aid to resident and staff communication. The Auditor was able to learn how staff would access the system if needed. Day Interpreting website supports the service and can translate into over 100 languages. Residents acknowledged there was some staff whom they could approach who could aid in their understanding of information. The Auditor was not able to speak with any LEP residents and there were no individuals with developmental disabilities. Random staff interviewed acknowledge they cannot use resident interpreters to ask any sensitive information, including PREA-related questions. The Auditor asked bilingual residents if they were offered a handbook in

Spanish at intake. The Auditor was not able to observe an intake but asked the Intake Release Coordinator how they assess language and disability barriers.

Indicator (c).

Random staff interviewed confirmed that resident interpreters are not appropriate in any communication about concerns of sexual misconduct. Staff are aware that it is only appropriate to do so in an emergency basis to find out information sufficient to obtain appropriate medical care. Staff were aware of the existence of interpretive services. Training records and materials support the expectation has been made apparent to staff. CRJ PREA Policy 900.00 states, "The use of resident interpreters, resident readers, or other types of resident assistants will not be used, except in limited circumstances, where an extended delay in obtaining an effective interpreter could compromise the resident's safety, the performance of first-responder duties or the investigation of the resident's allegations. In these exceptions or limited circumstances, documentation of all such cases shall be documented."

Compliance Determination

Western Massachusetts Reentry Center was able to present information on its ability to support LEP and disabled residents to its efforts to prevent, detect, and respond to sexual misconduct. The facility can aid disabled or LEP residents in understanding PREA, how to report a concern, and how to access assistance if one has been a victim. The agency had provided documentation, and the Auditor could see how LEP or disabled individuals could access information on the tour. CRJ's experience with individuals with intellectual and developmental disabilities provides an invaluable resource when individuals with these challenges are admitted. Residents' interviews support staff are available if they are having difficulty in understanding. Staff interviews and training documentation further confirm the staff's ability to aid the residents in all aspects of the facility's effort to have a zero-tolerance, PREA-safe environment. The Facility did not have any individuals with significant physical disabilities, hearing/ sight loss or cognitive challenges. There were also no individuals who were Limited English Proficient. The Agency has found services to use with residents and provided documentation of the training. Compliance is based on policy, the documentation provided, Informative documents are available to residents, and information from both staff and residents.

115.217	Hiring and promotion decisions
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 746 595">Hiring/Applicant Tracking System</p> <p data-bbox="280 631 722 667">Pre-Employment Questionnaire</p> <p data-bbox="280 703 847 739">Prior Institutional Employer Inquiry form</p> <p data-bbox="280 775 571 810">Employee handbook</p> <p data-bbox="280 846 632 882">Human Resources Memo</p> <p data-bbox="280 918 552 954">Random Staff Files.</p> <p data-bbox="280 990 722 1025">Employee Standard of Conduct</p> <p data-bbox="280 1061 911 1097">Documentation for Pine Street delivery staff.</p> <p data-bbox="280 1272 906 1308">Individuals interviewed/ observations made.</p> <p data-bbox="280 1344 660 1379">Human Resources Director</p> <p data-bbox="290 1415 970 1451">Western Massachusetts Reentry Center Director</p> <p data-bbox="280 1487 683 1523">Contract Oversight Manager</p> <p data-bbox="280 1630 767 1666">Indicator Summary determination.</p> <p data-bbox="280 1702 1474 1948">Indicator (a). The Community Resources for Justice Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) page 2 of the policy addresses the definition of sexual abuse consistent with the federal definitions. The policy on page 4 addresses this indicator's requirements. "CRJ prohibits hiring or promoting anyone who may have contact with residents and prohibits enlisting the services of any contractor who may have contact with residents, who:</p> <p data-bbox="280 1984 1437 2065">(1) Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution;</p>

(2) Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or

(3) Has been civilly or administratively adjudicated to have engaged in the activity described in paragraph a., (2) of this section.

CRJ considers any incidents of sexual harassment in determining whether to hire or promote anyone or to enlist the services of any contractor, who may have contact with residents”

Using language from the standard, the policy strictly prohibits the employment or contracting the services of individuals who have been convicted of engaging or attempting to engage in or administratively be adjudicated for sexual assault. Upon hire to Western Massachusetts Reentry Center, all employees have signed a form that directly asks if they have engaged in prohibited behaviors. The PREA Employment Questionnaire uses language consistent with the standard. This form is also required to be filled out each time an individual is promoted. Western Massachusetts Reentry Center does not currently hire contractors who have regular contact with residents, nor do they have they had any volunteers. Human Resources Staff confirm that individuals with past histories described in indicator a) would not be eligible for employment. Any one-time contractor such as completing service repairs reportedly would be supervised by staff while on-site reportedly. These individuals would also be informed about PREA and the residents’ right to be free from sexual abuse or sexual harassment.

Indicator (b). As noted in indicator (a), Western Massachusetts Reentry Center does not contract with individuals who provide direct services to residents. The Human Resources Department for CRJ will review all employees recommended for promotion. It will require the PREA Employee Questionnaire to be completed, followed by a complete Human Resources file review. The Human Resources Director confirmed if the Talent Acquisition Specialist identifies sexual harassment concerns in the staff file, the information would be referred to the Director of Human Resources and the Contract Oversight Manager before a promotional offer would be extended. The agency is small enough that both middle and upper managers would be able to identify historical concerns before any promotional opportunity would be finalized.

Indicator c). Community Resources for Justice policy 900.00 states, “CRJ requires that before any new employee, who may have contact with residents, is hired: (1) a criminal background record check is conducted, and (2) best efforts are made to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.” The Auditor was provided information supporting all current employees who have had an initial criminal background check. In addition to the policy, background checks are a requirement of the funding source. The agency also has in place a system to make inquiries of prior institutional employees. None of

Western Massachusetts Reentry Center's current employees have had prior institutional employment other than individuals who previously worked at other CRJ facilities.

The Human Resources Director and the facility Director committed to the agency's efforts to protect clients by seeking information about previous misconduct. The Agency utilizes a background service to check criminal and employment histories. The service has a PREA-specific release they require perspective employees to sign to allow a specific inquiry to past concerns of sexual misconduct. (Prison Rape Elimination Act Questionnaire for Prior Institutional Employers). The Auditor was able to review the content and process map for new employees. The Agency has an outside provider run all potential employees before they are offered a final position.

Indicator (d). As noted in indicator (a), Western Massachusetts Reentry Center does not contract with any individual to provide services to the client on-site. Residents seek medical and mental health services in the community. All visitors to the facility are monitored by staff when on site. The facility has one vendor who provided food delivery to the facility daily at the kitchen, who has little to no contact with the clients, and a second vendor who fills the vending machine. The Auditor was provided with documentation that criminal Background Checks had been completed on these individuals.

Indicator (e). The Community Resources for Justice Policy 900.00 requires all employees and contractors to undergo a criminal background check every five years. Western Massachusetts Reentry Center has only been open for less than five years, and no individual had previously worked for the CRJ organization in any capacity; the Auditor is confident the process was in place to complete the required background checks as the agency is required in their contract to complete a criminal background check on all new hires. The agency has completed the necessary checks on individuals in their other programs when the contract renewal has gone beyond the 5-year window.

Indicator (f). Noted in Indicator (a), all Western Massachusetts Reentry Center employees are asked to complete the PREA Employee Questionnaire. This document asks all prospective employees about the required element in the aforementioned indicator. The employee signs the form after they read the information, including the following: "CRJ shall impose upon employees a continuing affirmative duty to disclose any such misconduct". The Employee Standard of Conduct document also sets forth the requirement that the employee must report any engagement in criminal activity. Staff understood the expectation to report any behavior by themselves or other staff.

Indicator (g). The Community Resources for Justice PREA Employee Questionnaire also contains the following passage: "any material omissions regarding such misconduct, or provision of materially false information, shall be grounds for disqualification from employment or termination." Human Resources Director confirmed they have not had to fire any individual at Western Massachusetts Reentry Center for any such inaccuracies related to any sexual misconduct. The

staff confirmed they understood individuals who lie about the information on the application or engage in sexual misconduct would be terminated.

Indicator (h). CRJ Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) allow the agency to disclose any PREA-related concerns with proper releases of information to other institutions. The policy states, "CRJ provides information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work." Interviews with Human Resources Director confirm they make requests of outside employers when hiring; they report they do not frequently receive similar requests for prior employees.

Compliance Determination

The Community Resources for Justice is compliant with the hiring and promotion decisions required by PREA. The agency has policies (900.00 and HR hiring policy) in place to address the requirements of the standard, including the screening of individuals for sexual abuse or harassment histories. The agency has all staff working in their Social Justice Services Division undergo criminal background checks. Interviews with the Human Resources Director was completed by phone. The interview supports the agency's commitment to ensure no individuals with histories of sexual misconduct would be hired. The Auditor received electronic copies of random staff files; the Auditor picked the days before the site visit. The Auditor requested in advance of the on-site visit the following information: dates of hire, original and 5-year background check (if they existed), dates the staff signed acknowledgment on a continuing obligation to report the behaviors listed in indicator (a), and if the individual had prior institutional employment. This process allowed the Auditor to select a diverse sample of staff to be reviewed. During the Pre-audit phase, the Auditor requested documentation of the dates HR elements were completed for at the time all seventeen individuals employed at Western Massachusetts Reentry Center. The Auditor reviewed a sample of 8 of the 21 current staff files matching the hard documentation dated to the previously provided dates. The process allows the Auditor to confirm the hard documentation of selected files against the previously provided dates when he was on-site. Documentation from the personnel files for Western Massachusetts Reentry Center supported this standard's requirements, including asking employees about past sexual misconduct, responsibilities of continuous disclosure, and consequence for omission or falsification of information. Supporting Western Massachusetts Reentry Center's compliance were the policy that agreed with the standard's elements the interview with CRJ Human Resource staff, and the agency PREA Coordinator. The Agency has policies, procedures, and practices in place to support ongoing compliance. The Auditor also considered compliance with the CRJ Employee Handbook, which informs individuals about prohibited behaviors and conduct that can lead to discipline or the termination of employment. Interviews with HR and agency and facility administration further support the needed communication and practices are maintained.

115.218	Upgrades to facilities and technology
	<p data-bbox="280 188 1007 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 297">Auditor Discussion</p> <p data-bbox="280 340 1070 374">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 450">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 490 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 564 560 598">WMRC Staffing plan</p> <p data-bbox="280 701 906 734">Individuals interviewed/ observations made.</p> <p data-bbox="280 775 531 808">PREA Coordinator</p> <p data-bbox="280 848 679 882">Director of Reentry Services</p> <p data-bbox="280 922 962 956">Western Massachusetts Reentry Center Director</p> <p data-bbox="280 996 683 1030">Contract Oversight Manager</p> <p data-bbox="280 1205 767 1238">Indicator Summary determination.</p> <p data-bbox="280 1279 1477 1771">Indicator (a). Community Resources for Justice took over the contract for residential services from a local Sherrif. The facility, which is adjacent to a functioning hotel, underwent cosmetic changes and installed camera systems. This is the facility's first PREA audit, because they do not own the space there is some limitations on the changes that can be made. The Auditor previously spoke with the Director of Reentry Services who described for the Auditor in detail the steps taken to renovate any new facility and the importance of doing so with an eye toward client safety. The Contract Oversight Manager and the Program Director of Western Massachusetts Reentry Center also echoed how there is a continual assessment of the physical plant. The agency is hoping to pursue a more permanent facility at which time the Director of Reentry Operation and the PREA Coordinator will discuss and potential PREA concerns.</p> <p data-bbox="280 1883 1477 2085">Indicator (b). The Western Massachusetts Reentry Center, as noted in indicator a), is a new facility in the past three years The facility has limited cameras covering the two main hallways that make up the program. Staff was aware of two blind spots that the program's 15 cameras do not pick up and reported they will respond to the location if they see a resident linger in these spaces.</p>

Compliance Determination

The Auditor finds the standard to be met. The facility has limited blind spots in common areas. Though the facility has some challenges CJR has worked to provide the safest environment possible. The leadership provided examples in interviews of working with the owner of the building to rectify security concerns. The Auditor based findings on interviews, observations, and documentation, which sets forth expectations consistent with the standard. The Auditor was also provided a new PREA policy during the post audit process that has added language about the PREA Coordinators responsibilities on physical plant and technology for any new construction or modifications to existing facilities.

115.221	Evidence protocol and forensic medical examinations
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Misconduct</p> <p>2017 MA Adult Sexual Assault Law Enforcement Guidelines Police of Investigations</p> <p>Mass Statues on sexual abuse investigations</p> <p>Letter from YWCA of Western Massachusetts</p> <p>Letter from regional coordinator on SAFE/SANE Services</p> <p>PREA Signage (English/Spanish)</p> <p>MA. Dept Of Public Health website</p> <p>Website of MA Bureau of Community Health and Prevention. (SANE Training Program)</p> <p>Websites of YWCA of Western Massachusetts and Baystate Medical Center</p> <p>Letter from local police department confirming ability to investigate sexual abuse crimes</p> <p>Individuals interviewed/ observations made.</p> <p>Baystate Medical Center Representative</p> <p>Discussion with YWCA of Western Massachusetts staff</p> <p>Coordinated response plan visible in the facility.</p> <p>Summary determination.</p> <p>Indicator (a). The Western Massachusetts Reentry Center program has had no allegations of sexual abuse that required an investigation by the local police or the need to send a client for a forensic exam. The West Springfield Police Department is responsible for criminal investigations at Western Massachusetts Reentry Center. An administrative investigation would fall under CRJ's responsibilities. Western</p>

Massachusetts Reentry Center staff would not be involved in evidence collection but are trained as part of first responder duties to seal off potential crime scenes and instruct potential victims and perpetrators to preserve evidence. The State of Massachusetts sets forth the state protocols for the collection of evidence in a rape kit. The University of Massachusetts provides the training of SAFE/SANE nurses in the state. The Auditor communicated with the Hospital staff about the training and confirmed that the Baystate Medical Center has access to SAFE-trained staff. The state's Attorney General Office and the Department of Public Health (DPH) websites each have information on helping victims of sexual abuse. Interviews with staff support an understanding on the importance of protecting evidence. In addition to the state protocol, the Auditor was also provided with police policy on the collection and submission of DNA evidence.

Indicator (b). Western Massachusetts Reentry Center would not house any youthful adult inmates. The state of Massachusetts Health and Human Services Division trains SANE nursing staff using the practices promulgated by state-developed protocol. The state protocol was developed consistent with the DOJ National Protocol for sexual abuse patient care. A 22-member panel routinely reviews the protocol for forensic examination and evidence collection. The Auditor reviewed both documents to compare topics and information covered by the state protocol versus the national protocol created by the Department of Justice. The Auditor confirmed the use of the protocol with hospital representatives and state SANE program information that was reviewed online.

Indicator (c). Western Massachusetts Reentry Center has provided documentation in its Coordinated Response Plan that resident victims are sent to Baystate Medical Center. The Hospital confirmed they staff nurses who are trained as SANE. The Greater Springfield Area has several hospitals with SANE-trained nurses. Through interviews and website searches, the Auditor confirmed that victims of sexual assault are provided service free of charge. The cost is covered by a Massachusetts Victims Compensation and Assistance Division within the Attorney General's Office. Community Resources for Justice sets forth Policy 900.00 Page 12 sets forth the requirements of using a hospital with SAFE/SANE forensic examiners. Page 14 of the same policy confirms that resident victims are provided services free of charge no matter if they agree to cooperate with an investigation or not. The Auditor was provided with information confirming the relationship between the program and the Baystate Medical Center. The agency provided communication with the Regional SANE director for the state. The state websites reviewed by the Auditor support there are multiple medical facilities in the region with SANE services. The Auditor also reviewed state websites for information on who pays for the forensic exam which is covered under the law and the auditor found in state documents. SANE services provided at Baystate Medical Center are no different for the WMRC residents than they would be for any other individual living and working in the community.

Indicator (d) CRJ has entered into a working relationship with the YWCA of Western Massachusetts. YWCA of Western Massachusetts is a regional leader in providing rape crisis services to victims of sexual abuse. A letter outlines the YWCA of Western Massachusetts's willingness to work with the Western Massachusetts Reentry Center. Page 13 of Policy 900.00 Staff and Resident Sexual Misconduct sets forth the agency's responsibility to provide residents with access to a rape crisis agency. There are no current residents accessing services at the YWCA of Western Massachusetts. YWCA of Western Massachusetts can not only provide crisis services and supportive counseling; it also can provide clinical services to individuals struggling with their victimization history. The representative stated the area Hospitals and police are allowing accompaniment services for victims of YWCA of Western Massachusetts. The agency is willing to come to Western Massachusetts Reentry Center to support clients, including providing training for staff. The auditor did not initially find any formal documentation of a relationship with the local rape crisis agency initially. During the post Audit period, CRJ was able to work with the local provider to formalize a relationship. The Auditor's interviews with YWCA staff confirm there had been ongoing communication in the past with WMRC but that there was not a finalized document. The Auditor was provided with a draft document of the MOU and then a signed document. The Document covers the various responsibilities of both agencies in supporting victims of sexual abuse. The final document signed document was provided to the Auditor in the post audit period.

Indicator (e). A representative of YWCA of Western Massachusetts confirmed they provide support for victims of sexual abuse, including support during forensic exams, investigative interviews, ongoing support services. The agency confirmed they would aid a resident at Western Massachusetts Reentry Center in finding a support network if they move to another area at the time of release. Hospital Staff confirmed its protocol to offer YWCA of Western Massachusetts services to victims of sexual assault. The Western Massachusetts Reentry Center's Coordinated Response plan requires the Residential Supervisor or Case Manager on duty to notify YWCA of Western Massachusetts to request they come to meet with a victim or to meet the victim at Baystate Medical Center if the client agrees to go for an exam. YWCA of Western Massachusetts staff would be allowed professional visit status at Western Massachusetts Reentry Center. The MOU states that a confidential space would be provided at the program for YWCA staff to come meet privately with a resident with a victim history.

Indicator (f). The Auditor was presented with documentation from the Contract Oversight Manager on the efforts to build a relationship with the West Springfield Police. The West Springfield Police have the responsibility to investigate sexual assault cases at Western Massachusetts Reentry Center. The Western

Massachusetts Reentry Center Director confirmed she would be the point of contact if an investigation occurred. The Director was aware of the need to obtain sufficient information to aid any administrative investigation and to ensure proper notifications are made consistent with PREA standards (115.273). The Western Massachusetts Reentry Center Director confirmed the agency has developed a good working relationship with the West Springfield Police Department.

Indicator (g). The Auditor is not required to audit this provision

Indicator (h). The agency will make a victim advocate available through the YWCA of Western Massachusetts, so the indicator is NA. During the Post Audit period, CRJ was able to secure documentation supporting the relationship between the agency and the YWCA. Baystate Medical Center Representatives also confirmed that rape crisis services are offered to all individuals undergoing a forensic exam no matter where the victim is from.

Compliance Determination: Absent any investigations of sexual assault requiring a forensic exam, the Auditor made a compliance determination based on information provided by the facility and through research into the community-based resources available. The Auditor finds Western Massachusetts Reentry Center in compliance with this standard's expectations. Though the facility does not provide many of the services directly covered in the standard. Being in West Springfield, the required elements are all found in the community, including SANE services at local Hospitals, a metropolitan police force and a Rape Crisis Agency. In addition to the interviews, the Auditor found a great deal of information on the state websites, which was consistent with the information I received verbally and in the documents provided by Western Massachusetts Reentry Center management and the community contacts referenced above. The Auditor took into consideration in determining compliance the random staff knowledge of preserving evidence, the policy, and the available resources in the community. The Auditor held up the finalization of the report until the MOU was provided.

115.222	Policies to ensure referrals of allegations for investigations
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1011 524">Policy 900.00 Staff and Resident Sexual Misconduct</p> <p data-bbox="280 560 735 595">Mass Website on SANE Services</p> <p data-bbox="280 631 1166 667">Attorney General Protocol for treatment of Sexual Abuse cases</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 683 882">Contract Oversight Manager</p> <p data-bbox="280 918 523 954">Program Director</p> <p data-bbox="280 990 651 1025">Agency PREA Coordinator.</p> <p data-bbox="280 1205 767 1240">Indicator Summary determination.</p> <p data-bbox="280 1276 1474 1939">Indicator (a). Western Massachusetts Reentry Center has policies in place to ensure that all reported incidents of sexual abuse or sexual harassment are investigated. Policy 900.00 states, “program staff must report all allegations of sexual abuse or sexual harassment, including third-party and anonymous reports, to the local authorities and all contracting agencies for further investigation” (page16). Interview with staff confirmed they must report all allegations of sexual assault or sexual harassment no matter the source or if they think the allegation is true or not to the Western Massachusetts Reentry Center Director. The staff also were able to describe the process of protecting evidence and documenting the incident. Agency response plans also ensure all allegations are investigated. Interview with the Contract Oversight Manager and the Facility Director confirms the expectation. The Director reports the agency will involve the PREA Coordinator and other leading individuals in the organization to make sure a thorough review occurs in a timely fashion. In the allegations of sexual harassment, the facility leadership responded quickly. The PREA Coordinator and Director of Reentry Services were also made aware within the same day as the Western Massachusetts Reentry Center Director.</p> <p data-bbox="280 2047 1442 2083">Indicator (b). As noted in indicator (a), the Western Massachusetts Reentry Center</p>

and Community Resources for Justice policy require all criminal investigations be referred to the local police. The policy requires funding sources that are part of federal or state penal systems are notified. CRJ would ensure that non-criminal acts would be investigated internally. The agency has provided the training records of multiple individuals who would complete administrative investigations in the Special Investigative training standard. The CRJ policy is available on the Agency website. The Agency PREA Coordinator receives information on all allegations and both he and the Western Massachusetts Reentry Center Director would document the referrals to any outside investigative body. The Western Massachusetts Reentry Center Director or the Contract Oversight Manager would ensure that the funding source would also be immediately aware. Discussions with the referring authority support the agency does inform them of critical incidents in a timely fashion.

Indicator (c). CRJ's PREA Policy 900.00 requires a referral of criminal acts to the local authorities who have the authority to investigate crimes at Western Massachusetts Reentry Center. The letter of agreement from the West Springfield Police Department ensures that any PREA-related crime at Western Massachusetts Reentry Center will be referred to the criminal investigative unit that investigates sex crimes in the city. The Director of Western Massachusetts Reentry Center, who is one of the agency's trained investigators, confirmed the facility would ensure the police investigative officer is aware of the federal requirements on victim notification in PREA. He also reports there would be an expectation to set up regular calls to review the progress of the case. The Western Massachusetts Reentry Center Director also confirmed that if an administrative investigation found information that may support a criminal finding, the police would immediately be notify.

Indicator (d). The Auditor is not required to audit this provision.

Indicator (e). The Auditor is not required to audit this provision.

Compliance Determination

The Auditor finds that the facility has in place trained staff, who know all allegations must be referred for investigation and how to protect evidence. The facility has four staff associated with the program trained to complete administrative investigations (115.234). The Agency also has provided evidence to support the West Springfield police department is ready and willing to provide criminal investigative services. Finally, the agency in standard 115.221 provided evidence of access to trained forensic examiners at the Baystate Medical Center. Interviews, documents provided, and the information stated here support a finding of compliance for this standard.

115.231	Employee training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Misconduct</p> <p>Training Records</p> <p>Employee signature for trainings</p> <p>CRJ PREA Courses</p> <p>CRJ pat search training Search Course (Moss Group)</p> <p>CRJ Training Schedule for 2021 and 2022</p> <p>Memo on additional related trainings provided (ethics, standards of conduct, boundaries)</p> <p>Auditor review of NIC PREA Course (PREA for Community Confinement Centers)</p> <p>Attendance Roster</p> <p>Individuals interviewed/ observations made.</p> <p>PREA Coordinator</p> <p>Western Massachusetts Reentry Center Director</p> <p>Random Staff</p> <p>Indicator Summary determination.</p> <p>Indicator (a). The staff of Western Massachusetts Reentry Center are trained using the same curriculum that other CRJ facilities use. The Agency will utilize the NIC PREA Course and Agency's PREA Course provided on Zoom by the agency's PREA Coordinator. A review of the PowerPoint presentation and the accompanying exercises shows the 10 topics required were addressed. The topics included 1) a zero-tolerance policy for sexual abuse and sexual harassment 2) the duty to protect, detect and respond to incidents of Sexual Assault or Sexual Harassment 3) the residents right to be free from abuse 4) both the staff and resident right to make a report without fear of reprisal 5) the dynamics of Sexual Abuse in institutions 6) signs and symptoms of a victim of sexual abuse 7) how to act in response to a</p>

disclosure of Sexual Assault 8) How to avoid inappropriate situations with residents 9) How to effectively communicate with LGBTI and gender non-conforming residents and 10) what are mandated reporting requirements. The auditor spoke with all staff working in the facility during the two days audit and staff interviewed were able to give examples of the various elements of the training. In addition to being able to recount the content of the training, the staff confirmed the frequency of the PREA training. They reported additional related training are made available online or provided in a classroom setting, including a separate class on Professional Boundaries, Searches and working with LGBTI populations. The Auditor was also provided Policy 900.00 (page 5), which specifically requires the training to cover the elements described in this indicator. The Agency PREA Coordinator provides the training virtually to staff in online group sessions.

Indicator (b). The PREA training for staff at CRJ addresses how both male and female victims may react and why each gender may engage in sexual misconduct. The majority of the CRJ facilities service both male and female residents. The Western Massachusetts Reentry Center Director confirms that if staff came from a single-gender facility, the employee would be reoriented to working in the co-correctional Western Massachusetts Reentry Center. None of the current staff had transferred in from CRJ's other programs. Policy 900.00 (page 5) sets forth the training requirement to address the gender-specific issues for the population the employee works with. The further policy states additional training will be provided when a staff person is reassigned to a different gender environment than they had previously worked. In addition to formalized PREA training staff have access to other related coursework. In the review of staff records, there were cultural competency courses, a Boundaries and Diversity course, and a Code of Ethics training.

Indicator (c). Western Massachusetts Reentry Center employees are all trained in the ten items required in indicator (a) upon hire and at a minimum of every other year. CRJ staff participates in other PREA-related topics at a minimum of once per year. CRJ also provided annual training on searches, ethics, boundaries, and working with diverse populations as noted in indicator (b). Staff interviewed supported that PREA training and related topics occur two or more times per year. Training records were provided to the Auditor to support the ongoing training has happened in addition to the file reviews. The Auditor reviewed training records provided by Human Resources in 12 individual files and reviewed the training sign-in logs for consistency of information. CRJ provides training classes that include individuals from across their 9 facilities. In doing this CRJ is able to improve the class size and discussions while ensuring a consistent message of agency expectations.

Indicator (d). Employees complete onsite training in which the training form states the following "By signing this training roster, we hereby acknowledge that we understood the material presented" Additional training courses such as those

provided through the National Institution of Corrections have a score showing the individuals rate of comprehension of the materials presented. The Agency PREA training completed remotely has also included a test to ensure the material is understood. Program Directors are notified when individuals do not attend their annual training and the individual will be rescheduled. The Auditor reviewed rosters that supported the verbal information provided in the random staff interviews.

Compliance Determination

The Auditor find the Western Massachusetts Reentry Center is compliant with the requirements of this standard. Compliance is based on the materials presented relating to the training consistent with indicator (a). The agency provided documentation of all employees' original PREA training and ongoing training in training rosters, NIC certificates, and Human Resource records. Training dates were provided for all employees who were hired at Western Massachusetts Reentry Center in the last two years. The training records for 12 staff including those who were hired in the last year were provided. The Auditor picked a random set of names to review the training documentation including new hires. In addition to formal PREA training, the facility provided other related training to reinforce PREA training information. The Auditor also considered the random staff interviews in determining compliance. Staff spoken with were able to relate the information they learned as part of the agency training, including examples of all ten elements covered in indicator (a). The staff reported to the Auditor the training was effective; this was evident by the knowledge staff were able to relate back information to the Auditor.

115.232	Volunteer and contractor training
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 643 595">PREA Training PowerPoint</p> <p data-bbox="280 631 1110 667">Contractor/ Visitor log showing PREA information provided.</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 504 882">Facility Director</p> <p data-bbox="280 918 692 954">Sign-in logs at the front desk</p> <p data-bbox="280 1133 635 1169">Summary determination.</p> <p data-bbox="280 1205 1469 1487">Indicator (a). Western Massachusetts Reentry Center does not contract for an individual to provide direct services to their residents and they do not have any volunteers. CRJ PREA Policy 900.00 addresses if the agency does hire contractors or volunteers the policy sets forth that all individuals who have contact with residents have some level of education on the agency's Zero tolerance expectation and the efforts to prevent, detect and respond to sexual assault and sexual harassment claims.</p> <p data-bbox="280 1523 1465 1895">The facility Director confirms if the facility has volunteered with routine resident contact, they must meet with her for PREA education. Visitors who are one-time or not routine are provided the PREA brochure, which tells them about PREA and ways to report concerns. The Auditor was provided with this same material upon entry to the facility. I requested the brochure in Spanish, and the staff were able to provide that copy also. There is a process for documentation of a one-time visitor's receipt of PREA brochures, but examples of the practice had been impacted by COVID-19 safety protocols that reduce access for any outside individuals. The facility has no outside contractors who work with the residents or routinely come to the site.</p> <p data-bbox="280 2007 1441 2087">Indicator (b). Page 6 of CRJ's Policy 900.00 states, "All volunteers and contractors shall have at least been notified of the agency's zero-tolerance stance regarding</p>

sexual abuse and sexual harassment and informed how to report such incidents.” The Director reports and material presented confirmed that one-time visitors like the Auditor are given a PREA Brochure upon entry as part of the signing-in process. Individuals providing more frequent visits who have contact with residents get a more formal discussion about PREA with an administrator. If they have interns, the individuals receive the full PREA training course like any new employee.

Indicator (c). All visitors are required to be registered at the front desk. Documents were provided that all contractors are provided information about PREA. The facility administration educates volunteers who provide services on PREA. Policy 900.00 page 6 states, “The program shall maintain documentation confirming that volunteers and contractors understand the training they have received.”

Compliance Determination

In policy 900.00, Community Resource for Justice addresses the standard language expectations even though WMRC has no contractors or volunteers. The Auditor was also able to see firsthand the process visitors are informed on residents' rights to sexual safety. Absent any contracted staff or volunteer, the information provided to the Auditor, staff knowledge of the normal practice, The Director’s description of expected practices, and the interviews all support a determination of compliance.

115.233	Resident education
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 432 595">Client files</p> <p data-bbox="280 631 560 667">Resident Handbook</p> <p data-bbox="280 703 384 739">Posters</p> <p data-bbox="280 846 906 882">Individuals interviewed/ observations made.</p> <p data-bbox="280 918 580 954">Posters in the Facility</p> <p data-bbox="280 990 533 1025">Random Resident</p> <p data-bbox="280 1061 552 1097">Targeted Residents</p> <p data-bbox="280 1133 727 1169">Intake and Release Coordinator</p> <p data-bbox="280 1348 635 1384">Summary determination.</p> <p data-bbox="280 1420 1477 2074">Indicator (a). Agency policy and Western Massachusetts Reentry Center practice support all residents are provided PREA Education upon admission. They are educated on the client handbook, including PREA information, the facility’s Zero Tolerance for sexual misconduct, and a PREA Brochure. The Intake and Release Coordinator has the residents sign for the education they receive. The forms can be provided in multiple languages. The Auditor was provided a Resident handbook, PREA brochure, and the PREA education acknowledgment form in English and Spanish, the two most common languages spoken. Resident interviews support they know several ways they could report PREA concerns, that they would be protected from retaliation, and that being free from abuse is their right. Policy 900.00 provides specific information on the content of resident education and residents' support they are provided information about PREA in the first hours in the facility. “Within three days after the initial intake, the facility case management staff provides a full orientation to the program, including a second review of the PREA information. The Policy states, “As part of orientation for residents during intake, staff will communicate PREA information verbally and in writing, in a manner that is clearly</p>

understood by

residents. Information will include but is not limited to:

- Presentation of this policy
- Resident Grievance process
- CRJ's zero-tolerance stance
- Self-protection methods (see Section C., 8., Prevention)
- Prevention and intervention
- Treatment and counseling
- Reporting incidents
- Protection against retaliation
- Consequences of false allegations

b. Staff shall make every resident aware of PREA and the program's zero-tolerance stance prohibiting sexual contact, sexual abuse between residents or between residents and staff while at the program.

c. Staff shall communicate to residents the definitions of sexual abuse and sexual harassment violations, and information on the various reporting mechanisms for residents who believe they are a victim of or witness to this behavior.

(1) Residents will be informed about the multiple ways to privately report sexual abuse and sexual harassment, retaliation by other residents or staff for reporting such behavior and staff neglect or violation of responsibilities that may have contributed to such incidents.

d. Staff shall distribute to each resident a Resident Handbook, which includes the above information in language easily understood by residents. Staff shall also orient the residents to the section of the Handbook which discusses disciplinary sanctions for residents who intentionally make false allegations."

Random residents confirmed they received information from the Intake Release Coordinator on the first day at the facility. They report that the case worker will provide a further overview on their first session. The Intake and Release Coordinator described the intake process for the Auditor including what information was provided about PREA and the steps taken to ensure that the residents with Language or other comprehension barriers are provided materials in a way to ensure the residents comprehend. The facility has a contract with an interpretive service, has documents available in Spanish, has a TTY machine for hearing impairment, and has large print documents available to individuals with visual impairments. Residents who were interviewed by the auditor confirmed they knew about PREA before they got to WMRC. The residents were able to describe the

information they were provided at intake consistent with the description provided by the intake staff. The Intake and Release Coordinator, showed how the PREA Intake Orientation form is used to document information to the resident, and how the information provided by the resident informs screening and possible referrals to community support for those with victim histories. There were no admissions during the days on-site for the auditor to observe the resident education process. The Auditor observed the posting in the facility were easily understood and posted in English and Spanish the auditor confirmed understanding of the information provided in formal and informal interactions with residents. The Auditor did not have to use the interpretive services but was able to call the agency listed in standard 115.216 and staff were aware of the service. The staff reportedly would have to call the 800 number and provide the agency identification to access services.

Indicator (b). The Western Massachusetts Reentry Center facility does not routinely receive or transfer residents to or from other CRJ facilities. Most residents have had prior education about PREA in other state, county, or federal correctional centers. According to the Intake and Release Coordinator, Western Massachusetts Reentry Center's education occurs no matter where the individual is coming from, be it the community, a correctional center, or another CRJ program. The Intake release person confirmed there is no difference in the educational process no matter where the individual is admitted from. As noted in an indicator a) Most residents have prior correctional experience and are quite familiar with their rights under federal law. Resident interviews support comprehension of the information provided.

Indicator (c). The Auditor received PREA materials in 2 languages. The facility has translation services to aid limited English proficiency and a TTY for those with a hearing disability. Individuals with visual impairments can get larger print materials. A resident confirmed there are enough staff available that someone can help him if you have trouble reading. Policy 900.00 requires "These residents (LEP and Disabled) are provided equal opportunities to participate in or benefit from all aspects of CRJ's efforts to prevent, detect, and respond to sexual abuse and sexual harassment." The Intake and Release Coordinator discussed with the Auditor steps taken to ensure individuals with disabilities or language barriers comprehend the information provided. He described how he asks individuals about their comprehension of the materials. There have been no instances where he needed to use the translation services to complete an intake with the resident. The Auditor also asked bilingual residents if they were asked which language, they would prefer the materials in. The Auditor was told they have the capacity for large print materials. The residents have case workers assigned who could support them if there were reading comprehension issues. Case Workers at CRJ Programs are required to bring up PREA and ask questions about safety, sexuality, and victim history every two weeks.

Indicator (d). Each resident's PREA Intake Orientation Sheet is signed and dated by the resident in a paper format that is then placed in their file. The Auditor reviewed a sample of 12 current resident forms. Resident interviews randomly confirmed that the orientation process occurs in most cases within the first 24 hours of admission.

WMRC admissions are scheduled so it would be an unlikely situation where they could not complete all intake paperwork in the first hours in the facility. The Intake and Release Coordinator uses the PREA Intake Orientation Sheet to review and document the information he provides to the residents as well as documenting some of the information received from residents.

Indicator (e). The Auditor confirmed that residents had handbooks, brochures, and postings (English and Spanish) about PREA and how to report a concern on each level of the facility. Resident interviews support they were aware of the information even if they said they were not worried about PREA. Residents also supported that there are staff who were both approachable and willing to help residents who might not understand the information provided in written formats. The Auditor also confirmed with Hispanic or biracial residents if the intake staff asked if they preferred the information in Spanish.

Compliance Determination

The Auditor has determined Western Massachusetts Reentry Center is meeting the standard expectation in policy, practice, and documentation. The random resident interviews supported all residents of Western Massachusetts Reentry Center are provided education related to PREA. Resident interviews supported they know the zero-tolerance expectation toward sexual abuse or sexual harassment. The random residents confirmed that intake staff also educated them on how to report a concern and community-based services for those with victimization histories. Residents confirmed they did receive the information on a timely basis upon arrival. Two policies, Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) (pages 6-7) and Policy 1.1.6 Intake Process (pages 1-2), address the requirements of education of residents on PREA. Materials are available in more than one language, and the staff were aware of the translation services available. Residents support they understand their rights under PREA and know where to turn for information if needed. The residents confirm the information provided was done in a manner consistent with the description provided by the Intake and Release Coordinator. The Auditor also considered the documents found in client files consistent with policies supporting PREA education has occurred in determining compliance.

115.234	Specialized training: Investigations
	<p data-bbox="280 188 1007 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 297">Auditor Discussion</p> <p data-bbox="280 340 1070 374">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 450">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 490 1469 524">Reviewed the NIC training on Investigating Sexual Assaults in a Correctional setting.</p> <p data-bbox="280 564 1091 598">Certificates of CRJ staff who have completed the training.</p> <p data-bbox="280 775 906 808">Individuals interviewed/ observations made.</p> <p data-bbox="280 848 1315 882">Staff trained in investigating sexual assault or sexual harassment claims.</p> <p data-bbox="280 922 708 956">Interview with Funding Source</p> <p data-bbox="280 1061 635 1095">Summary determination.</p> <p data-bbox="280 1135 1469 1583">Indicator (a). Western Massachusetts Reentry Center and CRJ would not be responsible for completing criminal investigations. The West Springfield Police Department would have the primary responsibility for completing criminal investigations at Western Massachusetts Reentry Center. The funding source and referring authority of the clients involved will be informed of any PREA-related investigations. The agency has trained multiple staff in completing an administrative investigation in a reentry facility. The agency has used the NIC training on investigating sexual assault in a confinement setting. All investigations go through a multi-level review within the agency to ensure thorough investigations are completed. All investigative reports are also provided to the state Probation Office who is the funding source.</p> <p data-bbox="280 1693 1469 2063">Indicator (b). The NIC training provides the individual with the required content of the standard indicator. The information includes interviewing techniques with victims of sexual abuse, how to provide a Garrity or Miranda warning, the importance of sexual abuse evidence collection in a confinement setting, and the factors used in substantiating a finding in an administrative or criminal case. The Auditor reviewed the NIC course to ensure the course content met the standard's obligations. As a private agency, Garrity does not apply, and the agency staff would only be responsible for conducting an administrative investigation. Investigative staff interviewed were aware if an administrative investigation unveiled a potentially</p>

criminal act, the event is immediately referred to the police. The investigative staff was aware of the importance of working communication with the local police to ensure the administrative investigation does not impede the criminal investigation.

Indicator (c). The Community Resources for Justice has provided the Auditor with the certificates supporting the training of investigators. The Agency has multiple staff who would be able to complete investigations at Western Massachusetts Reentry Center. The individuals have completed the training, and the Auditor reviewed the certificates (Investigating Sexual Assault in a Confinement Setting) of the 3 individuals most likely involved in a PREA investigation at Western Massachusetts Reentry Center. The Auditor's interview with the Contract Oversight Manager, who is one of the trained investigators, supports he understands the key aspects of the training related to indicator b). The Director and Assistant Director positions are usually trained but the Director is new and the Assistant Director's position is vacant. The investigators from CRJ would only be responsible for completing an administrative investigation of staff misconduct or investigations of client-on-client incidents that are clearly not criminal in nature. The funding source also reports they would be informed of any sexual abuse allegations and would get a copy of the investigation from both a criminal and administrative. The individual report though there have been no allegations of sexual misconduct, they are confident that the agency will ensure investigations of all allegations of sexual misconduct by residents or staff.

Indicator (d). The Auditor is not required to audit this provision.

Compliance Determination

The Auditor finds Western Massachusetts Reentry Center compliant with the standard requirements. In determining compliance, the Auditor took into consideration the materials provided in the NIC course. The Auditor also used the certificates provided as proof of training. The Auditor considered the interviews with the Contract Oversight Manager, and the agency's PREA Coordinator, all of who received the NIC training. Absent any criminal investigations, the Auditor relied on agency policy, and the NIC training materials. The Contract Oversight Manager was able to describe in 115.271 the application of the materials provided in the training, the administrative investigative file, and the staff interviewed knowledge of the agency investigator in determining compliance. The investigator understood the importance of preserving evidence, how to communicate with victims of recent trauma, how communication with the West Springfield police would be maintained, and how to determine a finding.

115.235	Specialized training: Medical and mental health care
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Mass.gov SANE services webpage</p> <p>Individuals interviewed/ observations made.</p> <p>None</p> <p>Indicator Summary determination.</p> <p>Indicator (a). The indicator is NA. Western Massachusetts Reentry Center does not employ any medical or mental health staff.</p> <p>Indicator (b). The indicator is NA. Western Massachusetts Reentry Center does not employ any medical or mental health staff.</p> <p>Indicator (c). The indicator is NA. Western Massachusetts Reentry Center does not employ any medical or mental health staff.</p> <p>Indicator (d). The indicator is NA. Western Massachusetts Reentry Center does not employ any medical or mental health staff.</p> <p>Compliance Determination</p> <p>All indicators do not apply as Western Massachusetts Reentry Center does not employ any medical or mental health staff. The Auditor confirmed with the facility Director that residents can access the required services in the area. Mental Health Services are provided in the community</p> <p>Hospital staff confirmed the capacity of client victims to receive follow-up services</p>

	<p>at the hospital and when needed referrals to a specialist. The Baystate Medical Center has SANE/SAFE trained hospital staff who can provide SAFE/SANE services to sexual abuse victims. The Baystate Medical Center is one recognized on the state's website</p>
--	---

115.241	Screening for risk of victimization and abusiveness
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 655 595">Policy 1.1.6 Intake Process</p> <p data-bbox="280 631 1238 667">Western Massachusetts Reentry Center case files in Secure Manage</p> <p data-bbox="280 703 999 739">Western Massachusetts Reentry Center case notes</p> <p data-bbox="280 775 459 810">SQA Reports</p> <p data-bbox="280 913 906 949">Individuals interviewed/ observations made.</p> <p data-bbox="280 985 533 1021">PREA Coordinator</p> <p data-bbox="280 1057 491 1093">PREA Manager</p> <p data-bbox="280 1128 727 1164">Intake and Release Coordinator</p> <p data-bbox="280 1267 767 1303">Indicator Summary determination.</p> <p data-bbox="280 1415 1469 1868">Indicator (a). All residents admitted to Western Massachusetts Reentry Center are direct admissions from the community or state correctional centers. Transfer within the CRJ system would be rare and require the approval of the funding source. Policy 900.00 requires all admissions to be screened upon admission. "All residents arriving at the program shall be assessed during an intake screening (and upon transfer to another facility) for their risk of being sexually abused by other residents or sexually abusive toward other residents, using the PREA Possible Victim/Predator Screening and Scoring Checklist." The Auditor reviewed the files of 6 clients admitted one year prior and the files of 10 current admissions. All files reviewed confirmed that the clients were screened at admission on their risk of victimization or perpetrating behaviors.</p> <p data-bbox="280 1980 1477 2056">Indicator (b). The Auditor reviewed admissions over the previous year. The Auditor asked for open and closed files. Residents interviewed confirmed they meet with the</p>

intake coordinator who asked questions related to PREA consistent with the required element. Residents report a clear understanding of PREA and related prior education provided during their stay in other correctional centers. A review of 12 files showed that 100 % were complete on time. The Community Resources for Justice has a Standards and Quality Assurance Department that monitor compliance indicators including timeliness of screenings. Interviews with residents confirm they are asked questions consistent with screenings but the documentation of the scoring of the tool occurred outside the required window. The Auditor met with the Intake and Release Coordinator who completes all screenings. Absent a new intake, the auditor had this staff person walk him through both the intake process and the documentation that is used in determining how the PREA screening tool is completed. the auditor asked for the sources used (interviews, historical documents, observations) and how he screens for language and literacy issues. The PREA Intake Orientation checklist provides an outline of information and discussions used to open the client to conversations including their perception of safety and past abuse.

Indicator (c). The PREA screening tool used in all CRJ facilities is broken into two sections, one looking at victimization potential and the other looking at predatory behaviors. All residents are scored with the designation as either a known victim, a potential victim, or a non-victim. Similarly, all residents are given a designation as a known predator, a potential predator, or a non-predator. The Auditor reviewed with the Intake and Release Coordinator the process by which the tool is completed. During the screening process, residents are asked a series of questions that cover the standard's requirements. Depending on the resident's answers, direct observation, and information obtained through the file, the screener scores each category either yes or no. Utilizing the number of yes answers in each section determines the resident's level of risk of being a victim or perpetrator of sexual violence. Information from the scoring is then used to determine the most appropriate housing given the current population makeup, offer referrals for treatment, and when approved for work, the case management team will consider how scoring might impact vocational opportunities. The Auditor confirmed with the PREA Coordinator that he provides training to new case managers on how to use the tool.

Indicator (d). The Intake and Release Coordinator confirmed, consistent with policy 900.00 and the CRJ screening tool, elements of indicator d) are all considered in determining a score. The following elements are included: if the resident has been a prior victim of rape or sexual assault in an institution, if they are significantly younger or older than the current population, if the physical stature of the individual is smaller than the average population, if the individual has any developmental or mental health issues, if the resident is (or is perceived to be) LGBT or gender non-conforming, has a prior history of sexual abuse, has a prior history of engaging in sexual acts in prison, has a history of protective custody and finally, if the resident perceives that he or she would be at risk in the institution. Interviews with residents

confirm they are asked similar questions to the ones described by the Intake and Release Coordinator.

Indicator (e). The PREA Screening tool also looks for predatory factors, including a history of predatory sexual behaviors in prison, a history of physical or sexual abuse toward adults or children, a current gang affiliation, a history of consensual sex in institutions, and a history of violent criminal behavior. As a Community Confinement facility, it would be unlikely that an individual with a current or recent history of sexual violence is allowed in the program. Individuals with past histories are allowed as long as deemed appropriate by the referring agency. The screening will ensure they are not housed with any individual with a victim history. The program has one continuous hallway with female residents and individuals who might be at greater risk can be housed closer to the Program Monitor station.

Indicator (f). Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) sets forth on page 8, requires all residents to be reassessed within 30 days. At Western Massachusetts Reentry Center, the reassessments are completed with information obtained by the case management staff. Weekly case review team meetings allow for additional information to be communicated about the client's progress in the environment. Case management staff routinely ask residents about their perception of safety which is documented in the Secure Manage case file. The PREA Coordinator alerted the Auditor that there was inconsistent application of the policy with 82 % of the residents being completed on time. He had begun a corrective action plan several months before the Audit. A review of the files and the SQA audit reports during the visit and in the post-audit period supported the facility had reached 100% compliance within the last third of the year.

Indicator (g). The PREA Coordinator, facility administrators, and the Intake and Release Coordinator are aware that reassessments should occur whenever appropriate information is obtained that might impact a resident's scoring. Reasons for additional screenings can be new information that has been obtained supporting aggressive or victimization histories, behavioral observations, or actual incidents related to sexual abuse or sexual harassment in the facility. Though there have not been any situations where additional information or client behaviors have required any additional reassessments screening staff and case management staff spoken with were aware of when to perform reassessments. PREA Policy 900.00 addresses this indicator when it says, "A resident's risk level shall also be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the resident's risk of sexual victimization or abusiveness." Interviews with the case management staff confirmed that new information or behaviors in the facility that would impact the scoring would be ground for a reassessment. These individuals address PREA on a bi-weekly basis with the residents.

Indicator (h). The Auditor confirmed with an Intake and Release Coordinator that at no time would residents be disciplined for failing to answer questions related to their physical or mental disabilities, their victimization history, their sexuality, or being perceived as LGBTI. Policy 900.00 also states (on page 8) "Residents may not be disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to:

- (1) Whether the resident has a mental, physical, or developmental disability
- (2) Whether the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming
- (3) Whether the resident has previously experienced sexual victimization
- (4) The resident's own perception of vulnerability

In addition to the Intake and Release Coordinator, the program's case management staff also confirmed there is no consequence for the resident not answering the above-named questions. As noted previously the case managers routinely ask residents these related questions.

Indicator (i). Through interviews with the PREA Coordinator and the Intake and Release Coordinator, the Auditor confirmed that PREA-sensitive information used in the scoring process is kept confidential. Secure Manage has levels of security preventing unauthorized information sharing. The Intake and Release Coordinator, Case Managers, and Program Director, are the individuals with access to a client's scoring reasoning. Residential Counselors would not have access to anything more than the resident's scoring classification to ensure known or potential victims are kept from known or potential aggressors.

Compliance Determination

The screening instrument used at Western Massachusetts Reentry Center provided an objective scoring process, and the individuals charged with administering it were consistent with the policy on the description of scoring and security of information. The Auditor reviewed case files to confirm the screenings' timeliness and confirmed the 30-day reassessment issue identified by the agency continued to maintain compliance supporting the institutionalization of the changes put in place. The PREA Coordinator continues to provide the Auditor with files during the post-audit period. The Auditor reviewed a random sample of admissions. Compliance is based on the information provided in advance, the files reviewed on-site and the policy language supporting the standard expectations. The Auditor also considered an interview with residents who confirmed element of the screening is asked at intake and every two weeks. The Auditor also relied on the interview with the intake and release coordinator which showed how interviews and reviews of historical documents allow

	<p>for informed and objective screening to occur. Finally, the Auditor considered the Standards and Quality Assurance material which supports when a problem with a compliance indicator is addressed and follow-up monitoring is done to maintain compliance.</p>
--	--

115.242	Use of screening information
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Memo from Facility Director</p> <p>Resident casefiles</p> <p>Individuals interviewed/ observations made.</p> <p>Facility Director</p> <p>PREA Coordinator</p> <p>Intake and Release Coordinator</p> <p>Random Residents</p> <p>Random Staff</p> <p>Summary determination.</p> <p>Indicator (a). The Western Massachusetts Reentry Center administration uses the PREA Screening information to inform housing/ bed assignments and recommendations for treatment or vocational decisions. Western Massachusetts Reentry Center does not provide any educational services or internal treatment or work opportunities for residents. The facility uses screening information to identify which bedroom is most appropriate for the resident. The facility will not put known or potential victims in the same sleeping space as those who are known or potential perpetrators of sexual violence. Residents with prior histories of sexual violence may be required to attend specific treatment if required by the referring authority. Agency policy 900.00 states, "The program uses information from the PREA Possible Victim/Predator Screening and Scoring Checklist to inform housing, bed, work, education, and program assignments with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive. The program makes individualized determinations about how to ensure the safety of each resident." Interviews with the Director and case management staff report there are routine meetings where possible conflicts of residents going in the community could be discussed. If a resident has concerns about another resident the facility can consider each resident's schedule when</p>

leaving to allow for reduced potential contact out in the community while having staff provide additional observations when they are both on-site. Those individuals admitted to WMRC with sexually aggressive histories would not be referred unless their current risk was determined to be minimal. As a community confinement center the agency will not allow any aggression and with work with the referral sources to remove clients displaying any form of aggression. The Program does not run programming, education, or work assignments so the scoring is used for housing and bed placement primarily. The program will monitor interactions and can adjust residents' time in the community if a concern arises. There is zero tolerance toward any form of aggression at any CRJ program.

Indicator (b). Western Massachusetts Reentry Center's Intake and Release Coordinator is responsible for utilizing the screening information to provide the most appropriate housing in each population. The screening instrument helps identify parameters that ensure potential victims are not housed with individuals prone to aggression. Residents can be moved when needed to ensure the most comfortable setting possible. All moves of rooms would be approved through facility leadership, who would have knowledge of risk screening results. If needed, the facility can create single-room-only situations that could be used in transgender or intersex residents' housing. As noted in indicator (a) policy, 900 sets forth an expectation of individualized planning based on individual residents' needs. With one housing floor and rules preventing residents from going into other rooms, the facility can separate individuals who may be likely victims from those with aggressive histories or histories of sexual relationships in an institution. Female residents are currently housed in rooms closest to the staff office. In the same way, the program separates individuals with conflicting PREA scores.

Indicator (c). Policy 900.00 states, "The program makes housing and program assignments for transgender or intersex residents in the facility on a case-by-case basis considering whether a placement would ensure the resident's health and safety, and whether the placement would present management or security problems." In the past year, the facility has housed no transgender individuals but reportedly had one case briefly in the months prior. Discussions with agency and facility leadership confirm that they have considered how to handle a transgender or intersex resident referral. If the individual is known at the time of referral as transgender or intersex, discussions can be had to understand the resident's housing needs, and history of requests in previous institutional settings and provide them with a description of the facility's plan to accommodate them. The Intake and Release Coordinator confirmed as a Reentry facility; they would likely receive information on the client's sexuality in the referral packet. The Program Director confirmed that a two-person room would likely be used.

Indicator (d). Transgender and intersex residents entering Western Massachusetts Reentry Center would be asked about their feelings of safety and where they would

feel more comfortable being housed. Page 8 of Policy 900.00 states, "A transgender or intersex resident's own views with respect to his or her (if applicable) own safety shall be given serious consideration." CRJ and Western Massachusetts Reentry Center management staff confirmed that a short time after admission, transgender or intersex residents would be met with to discuss their needs as it relates to providing a comfortable setting from which they can participate in the program. It was reported the facility would meet with the transgender clients individually to determine what was needed to support their feeling safe in the environment both before placement and in the first days after arrival. The facility did not have a transgender individual in the current population for the Auditor to interview. There was one case over a year ago according to the PREA Coordinator and the Contract Oversight Manager where the resident stayed for approximately 3 weeks. During the time the management worked with the client and other residents to ensure the most comfortable setting. The reported trans-female was housed in a room for female residents.

Indicator (e). Transgender or intersex residents referred to Western Massachusetts Reentry Center would be housed in one of the smaller rooms to provide the most significant privacy level. Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) (page 9) ensure the resident's ability to shower and change by themselves. The policy states, "transgender and intersex residents will be given the opportunity to shower separately from other residents." In Western Massachusetts Reentry Center each room has an internal private bathroom for one person at a time.

Indicator (f). Western Massachusetts Reentry Center does not use an individual's LGBTI status as a mechanism to place all similar status individuals together. There is no state law in Massachusetts requiring the housing of LGBTI individuals together. Policy 900 0.00 prohibits this practice (page 8), "The placement of lesbian, gay, bisexual, transgender, or intersex residents in dedicated units, or wings solely on the basis of such identification or status, (unless such placement is in a dedicated unit or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such residents) is prohibited." LGBTI residents confirm that they are not housed based on their identification. Random staff interviews and interviews with the Intake and Release Coordinator who assigns rooms also support that LGBTI clients would not be segregated from the population.

Compliance Determination

Compliance determination is based on policy language, interviews with screening staff, and case file review. The facility did not currently house any transgender or intersex residents; as such, interviews with these populations could not occur.

Interview with the Western Massachusetts Reentry Center Director supports they utilize the screening information to protect all residents from sexual assault or

	<p>sexual harassment. Interviews confirm there are weekly case management review meetings where key elements of the screening information or observations of the client's behaviors in the environment are discussed if it impacts screening results.</p> <p>File reviews support that screening information is used for housing (including bed assignments). If there is a conflict between residents, the Auditor confirmed, bed reassignments must be made by the Director or the Intake and Release Coordinator. This process ensures victims and perpetrators are not together and ensures information about client dynamics learned in weekly case reviews are also considered. In determining compliance, the Auditor relied on policy, the facility's thought process for handling transgender residents, and interviews with current residents and staff.</p>
--	--

115.251	Resident reporting
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 469 595">PREA Posters</p> <p data-bbox="280 631 453 667">CRJ Website</p> <p data-bbox="280 703 991 739">Western Massachusetts Reentry Center Handbook</p> <p data-bbox="280 846 906 882">Individuals interviewed/ observations made.</p> <p data-bbox="280 918 533 954">PREA Coordinator</p> <p data-bbox="280 990 959 1025">Western Massachusetts Reentry Center Director</p> <p data-bbox="280 1061 858 1097">Phone call with Probation Representative</p> <p data-bbox="280 1133 826 1169">Phone call with DOC PREA Coordinator</p> <p data-bbox="280 1205 639 1240">Postings up in the facility</p> <p data-bbox="280 1348 767 1384">Indicator Summary determination.</p> <p data-bbox="280 1491 1485 2065">Indicator (a). The Community Resources for Justice and the Western Massachusetts Reentry Center facility provide the residents with multiple ways to report sexual harassment, sexual abuse, retaliation, or the neglectful acts of staff that could contribute to such harassment or abuse. Policy 900.00 (page 15) utilizes the standard indicator’s language setting forth the expectation. “The program shall provide multiple internal ways for residents to privately report sexual abuse and sexual harassment, retaliation by other residents or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents.” Facility brochures, posters, and residents confirm they can tell any staff person, any facility administrator, or the Agency PREA Coordinator. The Auditor confirmed with both residents and staff in interviews the multiple internal ways an individual may report a concern. Residents were able to give multiple examples, knew they could make anonymous reports and could make reports on behalf of other residents. The Auditor also tested the agency’s reporting</p>

system noted on their website for making complaints to the agency PREA Coordinator, which could include anonymous or third-party reports. Residents of Western Massachusetts Reentry Center often stated that PREA was not a concern of theirs in this facility. They would tell staff if they were a victim and were aware of the multiple other avenues. Residents are provided information in their orientation to Western Massachusetts Reentry Center, through their handbook, on posters throughout the facility, and on the CRJ website. The Posters were available in English and Spanish the most common languages spoken at the facility. Observation of the locations of posters and their content confirmed there was no barrier to residents' access. Individuals supported they understood the content of the PREA education and the materials posted or provided in the handbook. The residents are allowed to have their own phones in the program and they go into the community furthering the ability to make confidential communications. If they do not have a personal phone they may use the facility phones that are not recorded. The Auditor requested a box be put up so residents could place confidential and anonymous communication without handing it to a staff person. Residents also understood they can report any retaliation or staff neglect after reporting a PREA concern.

Indicator (b). Western Massachusetts Reentry Center utilizes as an outside option for reporting a concern to Massachusetts DOC PREA Coordinator or to the individual's community supervision officer as assigned by DOC, Probation, or Parole. Any complaints to the to PREA Coordinator or to regional Officers would be forwarded also to the individual who oversees the contract for the State of Massachusetts who would inform the facility of the allegation and ensure the appropriate level of investigation is achieved. The information is posted in the facility and the resident interviews supported knowledge of this reporting option. Policy language also addresses the indicator, "The program also shall inform residents of at least one way to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency and that is able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials, allowing the resident to remain anonymous upon request." The residents also knew they could report to local law enforcement authorities or their probation officer. The Auditor call the DOC PREA Office and the funding source the Office of Adult Probation to confirm that they would field the call and make appropriate notifications. The funding source confirms there are several avenues for them to obtain information including officers from the varying agencies who visit the facility to meet with clients regularly. As noted in indicator a) the Auditor tested many of the functions allowing residents to report a PREA Concern while on-site or in the community. This includes phone and mail services, agency reporting, reporting to the Massachusetts DOC PREA Coordinator, and the storage of records where confidential information would be stored. The Auditor observed signage in English and Spanish (the most common languages in the facility), promoting ways in which individuals could report a concern internally or externally. The signs were posted in a manner to allow the individual to easily read information. The residents interviewed supported they understand the information and knew the posting and

the handbook had information, though PREA was not a worry for them. Residents can use their own phones or phones in the community to make confidential calls and can mail letters to outside individuals while in the community or through the facility. There is a mailbox also for internal communication where complaints could be lodged with a level of privacy.

Indicator (c). Policy 900.00 requires all staff to accept a report of sexual abuse, sexual harassment, or concerns of retaliation from any resident or a third party and to report them to the supervisor and document the information. Interviews with random staff confirm that they know they must receive and document an allegation of sexual misconduct, no matter the source, immediately. The agency policy states, "As soon as practical, Program staff must report all allegations of sexual abuse or sexual harassment, including third-party and anonymous reports, to the appropriate local authorities and contracting agencies for further investigation." The auditor also confirmed with staff that they must report these actions and any concerns related to retaliation immediately to their supervisor no matter if they believed the allegation to be valid.

Indicator (d). CRJ provides the staff of Western Massachusetts Reentry Center with multiple ways in which a staff person can report a concern about PREA in the facility. As noted in the previous indicator, staff interviews confirmed they could go outside the chain of command if they felt they needed to without cause. Staff recognized they could report a concern directly to the Western Massachusetts Reentry Center Director, the agency PREA Coordinator, the Director of Reentry Operations, or to the Human Resources Department. Staff confirmed they would not get in any trouble for reporting outside the chain of command. The Human Resources staff also confirmed staff reports made in good faith are not subject to any consequences.

Compliance Determination

The Auditor finds the standard is compliant based on policy language, client and staff knowledge of reporting options, educational material, agency website, handbook, and posters observed in the facility. The Auditor also tested the posted methods of reporting. The agency and facility have put in place multiple avenues for staff and residents to report concerns of sexual misconduct. The agency PREA Coordinator also confirmed there were no hotline calls from a resident or third-party individual at the Western Massachusetts Reentry Center. Interviews with residents, staff, and agency administration supported that the necessary resources were in place to ensure a timely response. Most residents confirmed they would go to a staff they trust as a primary option if they felt a need to report a concern and believed it would be taken seriously. The Auditor also considered interviews with the Massachusetts DOC and Probation Office on residents' ability to seek outside assistance if they had a concern reporting to CRJ staff. Compliance is based on policy, interviews with the Director, staff and residents, observation and testing of

	<p>resources by the auditor, and conversation with both internal and external reporting options. The Auditor also Considered the placement of a new reporting box that afforded a more confidential process for the residents requesting to speak with the administration.</p>
--	--

115.252	Exhaustion of administrative remedies
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 991 595">Western Massachusetts Reentry Center Handbook</p> <p data-bbox="280 631 660 667">Memo from facility director</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 544 882">Resident Interview</p> <p data-bbox="280 918 488 954">Staff Interview</p> <p data-bbox="280 990 536 1025">Director Interview</p> <p data-bbox="280 1061 823 1097">Contract Oversight Manager Interview</p> <p data-bbox="280 1205 767 1240">Indicator Summary determination.</p> <p data-bbox="280 1276 1469 1518">Indicator (a). This indicator applies to Western Massachusetts Reentry Center. Residents can file a grievance internally to the facility director which can be appealed to the Director’s supervisor. The facility policy on grievances is in addition to the information provided in the resident handbook that supports the standard on exhaustion of administrative remedies. There were no PREA-related grievances in the past year. Policy 900.00 addresses the requirements of this standard.</p> <p data-bbox="280 1554 852 1590">“Exhaustion of Administrative Remedies</p> <ol data-bbox="280 1626 1445 2056" style="list-style-type: none"> <li data-bbox="280 1626 1445 1787">1. The program ensures a formal administrative process to address resident grievances regarding sexual abuse and sexual harassment. The program prohibits an informal grievance process or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse or sexual harassment. <li data-bbox="280 1823 1390 1899">2. The program shall not impose a time limit on when a resident may submit a grievance regarding an allegation of sexual abuse or sexual harassment. <li data-bbox="280 1935 1342 2056">3. A resident who alleges sexual abuse or sexual harassment may submit a grievance without submitting it to a staff member who is the subject of the complaint.

4. Such grievance is not referred to a staff member who is the subject of the complaint.
5. CRJ shall issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance.
6. Computation of the 90-day time period shall not include time consumed by residents in preparing any administrative appeal.
7. CRJ may claim an extension of time to respond, of up to 70 days, if the normal time period for response is insufficient to make an appropriate decision; CRJ shall notify the resident in writing of any such extension and provide a date by which a decision will be made.
8. At any level of the administrative process, including the final level, if the resident does not receive a response within the time allotted for reply, including any properly noticed extension, the resident may consider the absence of a response to be a denial at that level.
9. Emergency Grievances
 - a. The program shall provide procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse or sexual harassment.
 - b. After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse or sexual harassment, the program shall immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse or sexual harassment) to a level of review at which immediate corrective action may be taken, shall provide an initial response within 48 hours, and shall issue a final agency decision within 5 calendar days. The initial response and final decision shall document the program's determination of whether the resident is in substantial risk of imminent sexual abuse or sexual harassment and the action taken in response to the emergency grievance.
10. Unsubstantiated Grievances
 - a. The program may discipline a resident for filing a grievance related to alleged sexual abuse only where the program demonstrates that the resident filed the grievance in bad faith."

Indicator (b). As noted in indicator a) Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) provide direction related to residents filing a grievance. Consistent with the policy the facility handbook states that residents are not required to resolve incidents through an informal process. "Western MA Reentry Center shall not impose a time limit on when a resident may submit a grievance regarding an allegation of sexual abuse or sexual harassment." The policy and handbook also state there is no time frame in which the PREA-related grievance

must be filed. "The program prohibits an informal grievance process or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse or sexual harassment." The Auditor's Review found the resident handbook had language consistent with the standard's various indicators."Western MA Reentry Center prohibits an informal grievance process or to otherwise attempt to resolve with staff, and alleged incident of sexual abuse or sexual harassment." No resident has filed a grievance related really to sexual assault or sexual harassment in the past year.

Indicator (c). Grievances at Western Massachusetts Reentry Center are generally submitted directly to the Facility Director or Assistant Director. If the Facility Director is the subject of the grievance, it may be submitted to either an Assistant Director, the Contract Oversight Manager or the CRJ PREA Coordinator. The policy acknowledges there is no informal resolution attempt requirement, and the resident handbook states there is no time frame requirement for filing a PREA-related grievance. The Handbook provides information to residents that there are others that do not have to be filed with the staff who is the subject of the grievance or that that individual will be the person reviewing the grievance. "A resident who alleges sexual abuse or sexual harassment may submit the grievance without submitting it to a staff member who is the subject of the complaint such grievance is not referred to a staff member who is the subject of the complaint". Residents spoken with confirmed they understood they had an option of whom to submit the grievance to. Most residents felt they would go straight to the Director if they had a concern and bypass a grievance process for something as serious as sexual harassment or sexual assault.

Indicator (d). Western Massachusetts Reentry Center PREA policy 900.00 addresses the maximum time frames in which a grievance must be resolved. The time frames include an initial response within 7 days with an extension of an additional if notice is given in writing. Western Massachusetts Reentry Center's short length of residents' stay, (approximately 4 months) means they reportedly try looks to resolve concerns in an expedited fashion. The Director and Contract Oversight Manager confirmed that All allegations of sexual assault would be handled immediately and that most other sexual harassment claims would be responded to in a timeframe more consistent with an emergency grievance.

Indicator (e). Random staff interviewed confirmed that third-party grievances are possible. Staff acknowledged that complaints and/or grievances might be filed by the resident's family members, attorneys, community agencies, or other professionals working with the client. Interviews with residents and staff confirmed there is no formal policy that prohibits a resident from filing a grievance on behalf of another resident or a resident assisting a fellow resident in the preparation of a grievance. Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment

(PREA) (page 15) also cover the requirements of this indicator. According to this policy, the alleged victim in a third-party grievance has a right to decline the grievance to be processed. The PREA Coordinator confirms there were no grievances filed related to any sexual misconduct or retaliation for prior reporting.

Indicator (f). As shown in indicator a) Policy 900.00 defines the conditions for emergency grievances related to sexual assault or sexual harassment cases. The policy addresses time frames in which emergency grievances must be responded to, including an initial response within 48 hours and a final resolution within five days.

A policy also covers the requirements of determining if the imminent or substantial risk of sexual abuse exists for the client. The emergency grievance procedures are also outlined in the resident handbook (page 25). "Western Massachusetts Reentry Center shall provide procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse or sexual harassment. After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse or sexual harassment, Western Massachusetts Reentry Center shall immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse or sexual harassment) to a level of review at which immediate corrective actions may be taken, shall provide for the initial response within 48 hours, and shall issue a final decision within five calendar days. The initial response and final decision shall be documented in the Western Massachusetts Re-entry Centers determination whether the resident is in substantial risk of imminent sexual abuse or sexual harassment and the action taken in response to the emergency grievance. Interviews with staff confirmed they understood how to protect residence who allege a concern about imminent danger related to any form of physical or sexual abuse or harassment. The staff stated that they would protect the resident, keep them separated from the parties they're having difficulty with, and immediately report the concern to a supervisor who will come and investigate the situation to see if there is a resolution that can be worked out. As noted previously the WMRC is a community program and if there is believed to be any aggression the individual will be removed.

Indicator (g). Language in policy 900.00 (pg.16) states that residents who file a grievance can only be disciplined if, after an investigation, it is determined that the grievance was filed in bad faith. It says, "The program may discipline a resident for filing a grievance related to alleged sexual abuse only where the program demonstrates that the resident filed the grievance in bad faith." Western Massachusetts Reentry Center has not had any cases in which a PREA grievance was purposefully filed in bad faith. As a result, there is no disciplinary process to review. Interviews with residents of the program confirmed they understood the only way they could get in trouble for filing a PREA grievance is if they were found through an investigation to purposefully lied about the situation.

Compliance Determination

Western Massachusetts Reentry Center has had no cases in which a grievance was filed related to PREA, including any third-party grievance complaints. The Auditor considered determining compliance, interviews with staff, residents, the Director, The Contract Oversight Manager, the resident handbook, and policy. Staff interviewed were aware that they must accept all grievances, including those from a third party. Residents were aware of their rights under the grievance policy and the related language in PREA policy 900.00. The Director was familiar with PREA requirements related to time and response requirements. The Auditor also took into consideration the program's actions to provide an improved mechanism to file grievances after the Auditor's tour.

115.253	Resident access to outside confidential support services
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 807 595">PREA Brochure (English and Spanish)</p> <p data-bbox="280 631 871 667">Resident Handbook (English and Spanish)</p> <p data-bbox="280 703 799 739">PREA Postings (English and Spanish)</p> <p data-bbox="280 775 1329 810">Web information on community Mental Health Providers near the program</p> <p data-bbox="280 846 831 882">MOU from YWCA of Greater Springfield</p> <p data-bbox="280 1061 906 1097">Individuals interviewed/ observations made.</p> <p data-bbox="280 1133 531 1169">PREA Coordinator</p> <p data-bbox="280 1205 485 1240">Case Manager</p> <p data-bbox="280 1276 539 1312">Random residents</p> <p data-bbox="280 1348 786 1384">PREA related postings in the facility</p> <p data-bbox="280 1491 767 1527">Indicator Summary determination.</p> <p data-bbox="280 1563 1477 2056">Indicator (a). At Western Massachusetts Reentry Center, residents are provided information on accessing services for individuals who may have been the victim of sexual abuse. These organizations include the YWCA of Western Massachusetts and local mental health clinics that are available to residents in the community. The residents are provided information in written form as part of their initial packet upon admission. The facility's PREA brochure and the resident Handbook each have information about these organizations. The Auditor also was able to see information posted about these organizations in hallways, common areas, and case management staff offices. Residents of Western Massachusetts Reentry Center have access to a phone on-site that is not recorded. Residents may also have cellular phones, which would allow private communication with representatives of these organizations. Residents confirm they can make confidential calls on-site or make</p>

arrangements to seek counseling services in the community. They report the staff is helpful to those who are less familiar with the area and will provide you with information on how to contact and find local services. The Auditor fund information on clinical services in the greater West Springfield area. Some residents already were involved in the community in group and individual therapy prior to entering the program. The YWCA information is posted up in the facility and the Auditor confirmed the number. The Massachusetts state website also confirmed that TTY and bilingual services are available through the YWCA and its state partners. Residents can mail letters from the program or when they are in the community, incoming mail would not be read from a treatment provider or rape crisis center as it would be treated as professional mail as confirmed in conversations with staff and administration.

Indicator (b). Western Massachusetts Reentry Center residents are made aware of all staff members' duty to report any incident of sexual abuse. Residents of Western Massachusetts Reentry Center have access to unmonitored communication with outside agencies. The Phone system of Western Massachusetts Reentry Center is not monitored, and residents are allowed to have cellular phones. The resident interviewed understood the limitations of confidentiality if they disclose a crime or significant risk to an individual in the house. YWCA of Western Massachusetts, the local rape crisis agency, confirmed the ability to provide confidential support to the resident and provide those support directly at the agency's offices, or through phone contact with residents. The YWCA of Western Massachusetts office is approximately 2 miles away in the neighboring community of Cambridge. The MOU speaks to some direct services provided and interviews with the representative confirmed a willingness to aid in the referral process as residents prepare to move home.

Indicator (c). The Community Resources for Justice has entered into a relationship with the YWCA of Western Massachusetts. YWCA of Western Massachusetts's letter supports they provide comprehensive, free services, including a 24-hour hotline, advocacy, individual and group counseling, and case management. The Auditor confirmed in phone interviews the ability to provide accompaniment services during forensic exams and police interviews of a victim. The representative confirmed they do not have any current concerns of Western Massachusetts Reentry Center being a hotbed of sexual assault allegations. YWCA of Western Massachusetts also provides community awareness and prevention services through partnerships and training with organizations and communities.

Compliance Determination

Residents at Western Massachusetts Reentry Center are provided access to outside confidential support services. The residents have access to local mental health services providers funded through the FBOP and the services available through

	<p>YWCA of Western Massachusetts. The agency provided documentation that supported the appropriate relationships required in indicators (a) and (c) is in place. Interviews with the Western Massachusetts Reentry Center Director and case management staff confirm how residents can be assisted in making an appointment for counseling. Observation during the tour supported that information about services was available in both English and Spanish. These languages are the two most common languages spoken by residents entering Western Massachusetts Reentry Center. Resident interviews supported victims of sexual abuse could get supportive confidential counseling services in the community or from the 'hotline.' Compliance is based on the materials available, the relationships developed with community providers and the resident's knowledge of how to access the resources.</p>
--	---

115.254	Third party reporting
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 911 595">Agency Web Site (third party reporting form)</p> <p data-bbox="280 631 919 667">Brochures for Residents and Visitors on PREA</p> <p data-bbox="280 703 555 739">Resident Handbook</p> <p data-bbox="280 775 719 810">Memo on Third Party Reporting</p> <p data-bbox="280 913 903 949">Individuals interviewed/ observations made.</p> <p data-bbox="280 985 531 1021">PREA Coordinator</p> <p data-bbox="280 1057 504 1093">Facility Director</p> <p data-bbox="280 1128 911 1164">Adult Probation Manager of Reentry Services</p> <p data-bbox="280 1200 560 1236">Resident Interviews</p> <p data-bbox="280 1272 504 1308">Staff Interviews</p> <p data-bbox="280 1344 1238 1379">Visitor sign in process showing the distribution of Brochure on PREA</p> <p data-bbox="280 1415 831 1451">Signage posted throughout the facility.</p> <p data-bbox="280 1563 635 1599">Summary determination.</p> <p data-bbox="280 1635 1469 2087">Indicator (a). Community Resources for Justice has established systems to receive third-party reports on sexual assaults or sexual harassment. The agency website provides a phone number and Email address, and a printable form to aid in filing a complaint on behalf of a resident. The agency PREA policy 900.00, page 15, states that the program is to distribute information on how to report concerns related to PREA. This is accomplished by distributing brochures on PREA, which provide information on how to report a concern internally to the agency-wide PREA Coordinator. Residents are also provided information on how to report a concern related to PREA in their handbook and postings in the facility. The random residents interviewed supported they could make a complaint on behalf of a peer if they were too fearful for some reason. They also reported confidence that if a family member</p>

called on their behalf, the situation would be investigated. Residents also were aware they could make reports through the CRJ website, outside agencies, or their probation officer. The Massachusetts State Reentry Manager confirmed that she is notified on any concerns brought to Probation, Parole, and DOC staff and would forward all concerns to CRJ Administration. Staff interviewed were aware that all third-party complaints needed to be taken seriously and referred immediately to the Facility Director and the Agency PREA Coordinator. The Auditor also reviewed the agency website and recognized multiple avenues available to residents, families or other interested parties to receive complaints about sexual misconduct. The Auditor tested the third-party reporting system and received notification back from the agency PREA Coordinator that he had received my message.

Conclusions:

The Western Massachusetts Reentry Center and Community Resources for Justice have successfully provided multiple means for residents and other interested parties to make a PREA complaint as a third party. The information is publicly available on their website and is provided to visitors in brochures and postings as they enter the facility. The facility has trained the Western Massachusetts Reentry Center staff on the need to accept all complaints no matter the source and refer them so they can be investigated. Interview with staff and residents support the policy 900.00 expectations are understood. The Facility Director, random staff, and the agency PREA Coordinator all reported not having received any third-party PREA-related complaints in the past year. Interviews with residents confirmed that they can report on behalf of a peer or a family member could report on their behalf and they believed the situations would be investigated. The Auditor as noted above tested the third-party reporting system by sending an email to the address listed on the website. Compliance is based on all the factors listed here, which support multiple avenues to report a concern about sexual harassment or sexual assault.

115.261	Staff and agency reporting duties
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 692 595">Staff PREA Training materials</p> <p data-bbox="280 631 735 667">MA Department of Public Health</p> <p data-bbox="280 703 695 739">Memo from Program Director</p> <p data-bbox="280 775 762 810">Sexual Harassment Investigations</p> <p data-bbox="280 913 906 949">Individuals interviewed/ observations made.</p> <p data-bbox="280 985 587 1021">CRJ PREA Coordinator</p> <p data-bbox="280 1057 962 1093">Western Massachusetts Reentry Center Director</p> <p data-bbox="280 1128 475 1164">Random Staff</p> <p data-bbox="280 1267 767 1303">Indicator Summary determination.</p> <p data-bbox="280 1344 1449 1590">Indicator (a). Community Resources for Justice Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) repeatedly requires the immediate reporting of sexual abuse and sexual harassment claims, retaliation, and staff actions that may have contributed to such behaviors. Page 16 of the policy states, "Reporting Duties a. Any staff must immediately report to the Program Director or designee, any knowledge, suspicion, or information regarding:</p> <p data-bbox="280 1626 1461 1662">(1) an incident of sexual abuse or sexual harassment that occurred in the program;</p> <p data-bbox="280 1697 1469 1814">(2) retaliation against residents or staff who reported an incident of sexual abuse or sexual harassment; (3) any staff neglect or violation of responsibilities that may have contributed to such an incident or retaliation".</p> <p data-bbox="280 1850 1469 2056">The policy goes on to state, (page 17) "Upon receiving an allegation that a resident was sexually abused while residing at the program, the staff receiving this information must immediately notify the Program Director or designee, the SJS Deputy and the SJS Department Director." The policy addresses the reporting of abuse that occurred in previous institutions and the duty to report retaliation</p>

incidents and incidents where staff duties may have contributed to abuse occurring. In random interviews, staff consistently reported they understood their responsibility to report in the areas described in Indicator (a). The staff knew they must report all allegations of sexual assault or sexual harassment no matter the source of the allegation or even if they had questions on the validity of the allegations. The policy also requires the Program Director to notify the local authorities to begin the criminal investigation. There was one unfounded allegation 18 months before the Audit in which the investigation was initiated within hours of the allegation.

Indicator (b). Policy 900.00 (pg.18) requires the staff to keep confidential any PREA disclosure except to agency administrators and supervisors to facilitate treatment. Policy states, "Apart from reporting to designated supervisors or agency officials, staff shall not reveal any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions." Staff in random interviews repeatedly confirmed their awareness of the importance of protecting the victim and the investigative process by limiting the disclosure to those with a need to know. They were also aware of documenting the incident on email or written document to their supervisor but not to put it in the SecureManage electronic case management system where others could read.

Indicator (c). Western Massachusetts Reentry Center does not employ staff in medical or mental health services. Clients would potentially be referred to the local medical clinics for physical health issues where disclosure could be made. A memo was provided confirming this information. The Hospital would also potentially serve as a location for follow-up services if needed.

Indicator (d). Western Massachusetts Reentry Center would not receive a resident under the age of 18. Staff are trained in mandatory reporting laws, and the local police could apply additional charges to crimes against these protected populations. The state of Massachusetts website confirms that residents over the age of 60 and those with disabilities have special protection under the law from sexual abuse. These crimes can be reported to local police, and to the Department of Public Health in the state of Massachusetts. The Websites reviewed support mechanisms are in place to report if those who are targeted for their age, their disabilities, or if they have a diminished capacity. The Massachusetts State Police also have a unit that investigates crimes against these populations.

Compliance Determination

The Auditor concludes the standard is compliant based on training materials, policy,

	<p>sexual harassment investigations, and interviews completed. The one investigative file was reviewed for staff actions and if the individuals were in target groups. There were no individuals in the current population with an allegation and there was no staff who had acted as a first responder to an allegation of sexual assault. None of the incidents required first responders to perform any actions beyond keeping the person safe and reporting to a supervisor. The Auditor spoke with the Facility Director, the CRJ PREA Coordinator, and random staff. The policy addresses staff's need to report all incidents of Sexual Assault or Sexual Harassment while protecting the resident victim's privacy and the investigative process. Further supporting compliance is the interview with staff who consistently understood their duty to report while also understanding the need to protect victims' privacy.</p>
--	---

115.262	Agency protection duties
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 775 595">PREA Memo from Program Director</p> <p data-bbox="280 703 906 739">Individuals interviewed/ observations made.</p> <p data-bbox="280 775 683 810">Contract Oversight Manager</p> <p data-bbox="280 846 523 882">Program Director</p> <p data-bbox="280 918 475 954">Random Staff</p> <p data-bbox="280 990 544 1025">Random Residents</p> <p data-bbox="280 1205 767 1240">Indicator Summary determination.</p> <p data-bbox="280 1276 1469 1850">Indicator (a). Western Massachusetts Reentry Center has not had a situation where a resident has needed protective services from substantial or imminent risk of sexual assault. The facility has trained its staff to handle these situations consistent with first responder expectations, including taking immediate actions to ensure safety, keeping them apart from any perceived threat, and notification to supervisory staff. Since opening the facility has not had to separate residents as a part of a plan to keep a resident safe from sexual misconduct. The facility takes all resident conflicts seriously and tries to work with the individuals so they can complete their respective stays. It is clear though no aggression would be tolerated. The residents spoken to did not report any concerns about sexual aggression in the environment. Staff interviewed were able to describe the steps they would take to protect a resident who had concerns about potential abuse. They know to keep individuals apart, to support the individual reporting the risk, and to notify the Program Director of the resident's stated concern.</p> <p data-bbox="280 1962 660 1998">Compliance Determination</p> <p data-bbox="280 2033 1433 2110">Since Western Massachusetts Reentry Center has not had to provide protection duties for a resident in danger of sexual assault, the Auditor relied extensively on</p>

<p>interviews and policy to determine compliance. Residents who display any form of aggression would be removed from Western Massachusetts Reentry Center rather quickly, so protection duties would be limited as compared to a correctional setting. Interviews with the Contract Oversight Manager and Program Director confirmed multiple steps that would be enacted to ensure the safety of all clients involved. Random staff who were interviewed stated they would immediately respond to any concern related to residents' safety. Absent any imminent risk situations, compliance is based on policy and interviews supporting plans are in place and staff is aware of how to respond.</p>
--

115.263	Reporting to other confinement facilities
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 775 595">PREA Memo from Program Director</p> <p data-bbox="280 703 906 739">Individuals interviewed/ observations made.</p> <p data-bbox="280 775 523 810">Program Director</p> <p data-bbox="280 846 587 882">CRJ PREA Coordinator</p> <p data-bbox="280 990 767 1025">Indicator Summary determination.</p> <p data-bbox="280 1061 1477 1594">Indicator (a). Community Resources for Justice policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) requires that the Director of the Western Massachusetts Reentry Center notify the director of another facility if a resident reports previous sexual assault incidents at the other facility. An interview with the Western Massachusetts Reentry Center Director confirms she is aware of this responsibility. The agency policy states, "Upon receiving an allegation that a resident was sexually abused or sexually harassed while confined at another facility, the Program Director that received the allegation shall notify the head of the facility or appropriate office of the agency where the alleged abuse or harassment occurred." The Program Director of Western Massachusetts Reentry Center confirmed that all allegations are reported through the Massachusetts Probation Office. The representative of Massachusetts Probation did not report any complaint to or from Western Massachusetts Reentry Center for abuse at other institutions.</p> <p data-bbox="280 1702 1458 1989">Indicator (b). In the interview, the Western Massachusetts Reentry Center Director was aware that notifications must be made within 72 hours of his staff being made aware of a sexual assault at another institution. Policy 900 goes on to state the requirement to report to the institution where the abuse occurred is "as soon as possible but no later than 72 hours after receiving an allegation." She would report the concern also to the CRJ Contract Oversight Manager and to the CRJ Director of Reentry Operations.</p>

Indicator (c). The Program Director confirmed she would document the notification by making a follow-up email after making initial contact with the Director of the other facility or the individual responsible for Massachusetts Adult Probation. As noted in Indicator (b) copies of the informational notice would be sent to the CJR Contract Oversight Manager.

Indicator (d). As noted in indicator (a) the Western Massachusetts Reentry Center Director and PREA Coordinator confirmed that an investigation would be enacted immediately upon notice from another institution of any criminal behavior at Western Massachusetts Reentry Center. Agency policy states, "The agency head or program director that receives such notification shall ensure that the allegation is investigated in accordance with these standards." There were no such allegations received at Western Massachusetts Reentry Center. Currently, the administrative investigation would be completed by the Contract Oversight Manager s the Program Director is new and has yet to complete the investigator training.

Compliance Determination

CRJ had received no reports from other correctional institutions about claims of sexual assaults at Western Massachusetts Reentry Center. The facility did not have to report any claims of sexual assault to any other correctional institution.

Compliance, absent a claim relied on the Western Massachusetts Reentry Center Program Director and PREA Coordinator's knowledge, of the standard's requirements, including timeframes for reporting to other institutions. The Auditor also took into consideration CRJ's PREA policy, which addresses the standard language requirements.

115.264	Staff first responder duties
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Western Massachusetts Reentry Center Coordinated Response Plan</p> <p>CRJ PREA Training materials</p> <p>PREA Memo</p> <p>Individuals interviewed/ observations made.</p> <p>Random Staff</p> <p>Case Management Staff</p> <p>Western Massachusetts Reentry Center Director</p> <p>PREA Coordinator</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center has not had a case requiring a staff member to act as a first responder to a sexual assault complaint. The Auditor had to rely on random staff’s ability to explain their first responder responsibilities. The random staff interviewed described the steps they were trained on, including separating the victim and the potential threat and securing the crime scene. They also knew to ask both the victim and the accused perpetrator to not shower, wash, brush, eat, drink, or take any other actions that would affect the evidence on them or their clothes. CRJ Policy 900 also sets forth expectations for staff consistent with this indicator (page 12). The policy states, “Upon learning that a resident was sexually abused, the first staff member to respond to the scene must:</p> <ol style="list-style-type: none"> a. Separate the alleged victim and alleged abuser (to protect the victim and prevent further violence); b. Not leave the alleged victim alone; c. Ensure no one else enters the area to preserve and protect the crime scene;

- d. Check victim for immediate medical attention and call 911 if warranted.
- e. Contact the Person-in-Charge (Program Director or designee) to request the assistance;
- f. If the abuse occurred within a time period that would still allow for the collection of physical evidence (up to 96 hours), request that the alleged victim not take any action that could destroy physical evidence, including washing or showering, drinking or eating (unless medically indicated), brushing teeth, changing clothes, or toileting.”

Indicator (b). All staff at Western Massachusetts Reentry Center are trained to be first responders. All staff are trained in the facility’s Coordinated Response Plan. The first four steps of the plan described the actions that a person could undertake in a sexual assault as a first responder. The Auditor confirmed with case management staff and the Intake and Release Coordinator that they also are trained as first responders.

Compliance Determination

Absent any sexual assault cases in the past year, the Auditor had to rely on random staff interviews in determining compliance with the standard. The Auditor relied on the staff’s ability to describe their duties consistent with the training materials reviewed. The staff were well versed in the expectations of a First Responder. They described the protection of the potential victim and the preservation of evidence, be it a physical space or on an individual. Individual staff also noted that the Coordinated response plan could be used as a reference if they were not sure what to do. The plan was visible on tour in several locations. The Auditor also reviewed the PREA training to get an understanding of the information provided to all staff.

115.265	Coordinated response
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1230 524">Western Massachusetts Reentry Center Coordinated Response Plan</p> <p data-bbox="280 560 1385 595">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 703 906 739">Individuals interviewed/ observations made.</p> <p data-bbox="280 775 504 810">Facility Director</p> <p data-bbox="280 846 475 882">Random Staff</p> <p data-bbox="280 1061 767 1097">Indicator Summary determination.</p> <p data-bbox="280 1133 1477 1415">Indicator (a). The Community Resources for Justice PREA Policy 900.00 is descriptive on the roles of line staff, facility, and agency administrative response to incidents of sexual misconduct. The Policy gives direction to first responders, facility, and agency administration. It also speaks to the coordination of services of local medical, mental health, emergency response agencies (police, ambulance), and hospital and rape crisis advocates. It states, "Coordinated Response to Alleged Incidents of Sexual Abuse/Staff First Responders</p> <p data-bbox="280 1451 1477 1653">1. The program will work towards providing a coordinated response to all allegations of sexual abuse, including interventions by first responder staff, medical facility staff, mental health practitioners, local law enforcement, investigators and program staff. This policy and procedure serves as a written plan for providing coordinated actions taken in response to an incident of sexual abuse.</p> <p data-bbox="280 1688 1398 1769">2. Upon learning that a resident was sexually abused, the first staff member to respond to</p> <p data-bbox="280 1805 507 1841">the scene must:</p> <p data-bbox="280 1877 1477 1957">a. Separate the alleged victim and alleged abuser (to protect the victim and prevent further violence);</p> <p data-bbox="280 1993 810 2029">b. Not leave the alleged victim alone;</p>

c. Ensure no one else enters the area to preserve and protect the crime scene;

d. Check victim for immediate medical attention and call 911 if warranted.

e. Contact the Person-in-Charge (Program Director or designee) to request the assistance (including notifying funding source of incident);

f. If the abuse occurred within a time period that would still allow for the collection of physical evidence (up to 96 hours), request that the alleged victim not take any action that could destroy physical evidence, including washing or showering, drinking or eating (unless medically indicated), brushing teeth, changing clothes, or toileting.

(1) If toileting needs to take place, the resident should be instructed to not wipe.

3. In the event of an allegation of sexual abuse within the last 96 hours, including but not limited to those involving penetration, staff will have resident transported to a local hospital, with the victim's permission, equipped to evaluate and treat sexual abuse/rape victims, where he/she may receive a forensic medical exam by medical personnel not employed by the program.

a. Staff will not allow the resident to wash, shower, toilet, change clothes, brush teeth, eat or drink (unless medically indicated) before examination, as evidence may be destroyed.

b. The medical personnel will use an evidence collection kit for the collection of forensic evidence with the resident's consent and without financial cost where evidentiary or medically appropriate.

c. Program staff are prohibited from providing forensic medical examinations to any victim of sexual abuse.

4. Where possible, examinations performed at the community medical facility are performed by Sexual Assault Forensic Examiners (SAFE) and Sexual Assault Nurse Examiners (SANE) nurses"

The facility has a Coordinated Response Plan available to staff that reduces the policy information to a step-by-step action plan in responding to a sexual assault. The plan focuses on the first responder's actions and included information on the hospital the victim is to be sent and the number for the local Rape Crisis agency. Since the agency does not employ medical or Mental Health staff, there are no specific duties for these positions. The facility also has postings in staff areas with a brief understanding of what steps should occur in the event of a sexual assault. The document covers the aspects covered in the policy and critical information for responding staff to know including the phone number and address of the hospital with SANE services and the phone number to the local rape crisis agency so an advocate can be informed.

Compliance Determination

The Western Massachusetts Reentry Center coordinated response plan is available to all staff. Each step indicating a required action and the individual responsible for ensuring it occurs is listed on the chart. The staff's awareness of the coordinated response plan supports compliance. The Auditor believes that Western Massachusetts Reentry Center staff are sufficiently trained in implementing the plan if an incident occurs. The Western Massachusetts Reentry Center Program Director further supported compliance by her knowledge of the plan and the expectation that multiple individuals will have responsibilities.

115.266	Preservation of ability to protect residents from contact with abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>CRJ Employee handbook</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Individuals interviewed/ observations made.</p> <p>Contract Oversight Manager</p> <p>Program Director</p> <p>Indicator Summary determination.</p> <p>Indicator (a). CRJ, the parent organization of Western Massachusetts Reentry Center, does not employ unionized employees. The agency’s employee handbook does state that individuals can be placed out of work during an investigation. Page 15-16 of the Agency employee handbook defines the right to discipline employees who engage in “gross misconduct.’ The document goes on to state the right of CRJ to place employees out on administrative leave during investigations into their actions. Agency policy 900 addresses the standard when it states,</p> <p>“a. If there appears to be evidence of sexual abuse or sexual harassment between staff and resident, supervising staff shall take steps to separate them so there is no possibility of further unmonitored contact between them until an investigation is completed.</p> <p>b. The appropriate staff shall determine if the staff member should be placed on administrative leave pending the results of an investigation.”</p> <p>The facility Director and the Contract Oversight manager confirmed their ability to immediately place a staff person out on leave in an investigation. In 2022 there were no allegations of sexual abuse or harassment by staff requiring an individual to be placed on administrative leave during the investigation.</p> <p>Indicator (b). The Auditor is not required to audit this provision.</p>

Compliance Determination:

The Auditor finds the standard to be compliant. The agency has an employment policy that allows Western Massachusetts Reentry Center to put an accused staff person out of work on administrative leave. In doing so, they would be able to protect a resident from any further abuse or subsequent harassment. The employee handbook also supported that there were no collective bargaining contracts and defined that individuals who are subject to an investigation can be placed out of work. The Director confirmed that she would notify the Director of Reentry and the Contract Oversight Manager. Compliance, absent a case, was based on policy and Interviews with facility and agency leadership.

115.267	Agency protection against retaliation
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 632 595">CRJ Employee Handbook</p> <p data-bbox="280 631 724 667">CRJ Retaliation Monitoring form</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 523 882">Program Director</p> <p data-bbox="280 918 533 954">PREA Coordinator</p> <p data-bbox="280 990 687 1025">Contract Oversight Manager.</p> <p data-bbox="280 1133 767 1169">Indicator Summary determination.</p> <p data-bbox="280 1276 1458 1518">Indicator (a). Indicator (a). Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) establishes, on pages 4 ad 5, an expectation to keep both staff and residents who report or corroborate with an investigation into sexual assault or sexual harassment from any form of retaliation. The policy states, "The program must employ all available measures to protect vulnerable residents from abuse or prevent abusers from having the opportunity to abuse by:</p> <ol data-bbox="280 1559 1474 2074" style="list-style-type: none"> (1) Consultation with the referral source; (2) Removing alleged resident abusers from contact with victims; (3) Removing alleged staff abusers from contact with victims; (4) Monitoring resident rooms, including by direct observation, if necessary; (5) Transferring potential victims/abusers to other facilities, if operationally possible; (6) Actively monitoring, for at least 90 days, the conduct and treatment of residents or staff who reported abuse or harassment, and, of residents who were reported to have suffered abuse to see if there are changes that may suggest possible retaliation by residents or staff;

- (7) Promptly remedying any signs of retaliation detected;
- (8) Monitoring any resident disciplinary reports, housing or program changes, or negative performance reviews or reassignments of staff;
- (9) Continuing monitoring beyond 90 days if the initial monitoring indicates a continuing need;
- (10) Providing monitoring that includes periodic status checks for residents; and
- (11) Protecting individuals who cooperate in investigations who express fear of retaliation. The program's obligation to protect against retaliation ends if any allegation is unfounded."

The Contract Oversight Manager says he would expect the Program Director to be the facility's primary individual responsible for monitoring any adverse outcomes after a claim has been made. The Facility had one case 18 months prior where the individual had made a claim that was unfounded against a staff person but the facility initiated monitored the individual to ensure no retaliation. The resident was monitored until they exited the program before the 90-day period ended.

Indicator (b). The Director of Western Massachusetts Reentry Center and the Contract Oversight Manager both spoke about the multiple options Community Resources for Justice has to protect residents from retaliation. This includes reassigning rooms or in more extreme cases, the agency can explore with the funding source permission to have a client move to another CRJ facility, to home confinement, or the individual be removed from the program altogether. PREA Policy 900 also speaks to efforts to separate individuals to protect them from retaliation. "In less serious abuse situations (administrative), the appropriate staff shall consider whether to separate the residents or take other steps for their safety, to prevent intimidation or retaliation. Staff may move residents to another location within the program. The Deputy Director of Social Justice Services or designee shall assist the Program Director with this decision. Staff should also consider whether there are any resident witnesses who should be relocated to ensure their safety and protect them from intimidation."

Indicator (c). As noted in indicator (a), the agency policy addresses the requirements of this indicator. The Program Director was aware that staff and residents who report or cooperate with a PREA investigation should be monitored for a period of 90 days. She was able to describe things that would be reviewed as possible symptoms of retaliation. Examples include monitoring for discipline, changes in attitude or behaviors, and changes in interactions with peers. Though there were no retaliation monitoring in the past year, the agency has forms in place to consistently document the resident's progress.

Indicator (d). The Program Director for Western Massachusetts Reentry Center reports there would be periodic check-ins made by her or the appropriate case management staff to any individual who cooperated in the investigation. The reported contact with clients would be in addition to the regular case management

check-ins required for residents. Western Massachusetts Reentry Center varies contacts with clients based on needs but the Director supported the client would be seen at least once a week after a PREA event. By practice, Western Massachusetts Reentry Center case management staff routinely ask all residents about their feeling of safety as it relates to sexual misconduct. The retaliation monitoring form has a space for documenting the clients' monitoring process and boxes that coincide with elements to be considered.

Indicator (e). As noted in indicator (b), the protections enacted by Community Resources for Justice would extend to any individual who cooperated in the investigation of sexual misconduct.

Indicator (f). The Auditor is not required to audit this provision.

Compliance Determination

The Auditor finds that Western Massachusetts Reentry Center is compliant with the expectations of this standard. Absent a case in the past year requiring monitoring, the Program Director and the Contract Oversight Manager's Interviews both support policy expectations. The Program Director understood the monitoring should continue even if the perpetrating individual has been removed. The policy statement, the monitoring form in place, documentation of past monitoring, the counseling services available to staff and residents, and the interview results were supporting this determination of compliance. Included in consideration were the residents who consistently supported in interviews they could approach staff and believed they would be kept safe.

115.271	Criminal and administrative agency investigations
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Staff Training Records of Administrative Investigation</p> <p>Investigation file</p> <p>Documentation of relationship with local Police</p> <p>Individuals interviewed/ observations made.</p> <p>Facility Director</p> <p>PREA Coordinator</p> <p>Summary determination.</p> <p>Indicator (a). The Community Resources for Justice policy 900.00 sets forth the requirements of the standard, including an immediate notification by the Program Director to the local police department. The policy states, "All allegations of sexual abuse or sexual harassment must be reported to the PREA Coordinator:</p> <p>(1) Allegations of sexual harassment between residents will be reported for investigation by the Program Director; Upon receiving an allegation that a resident was sexually abused while residing at the program, the staff receiving this information must immediately notify the Program Director or designee, the SJS Deputy and the SJS Department Director. (1) The Program Director, or designee, must then:</p> <ul style="list-style-type: none"> a) institute the Incident Report process; b) call the local authorities to begin a criminal investigation c) call the appropriate contracting agency d) notify CRJ Human Resources if a staff person is involved)." <p>Since Western Massachusetts Reentry Center or CRJ staff would not complete a</p>

criminal investigation, they will promptly report any sexual abuse or sexual harassment allegation that appears to be criminal to the West Springfield Police Department. The Program Director of Western Massachusetts Reentry Center is relatively new and has not been trained to complete administrative investigations of Sexual Misconduct. The Contract Oversight Manager was interviewed as a trained investigator. He reported that the administrative investigation would happen immediately, and it would include a thorough and objective review of the facts. The only delays in administrative investigations are when those actions would impede the criminal investigation. All interviewed staff understood the need to accept all allegations, including third-party and anonymous reports, and report them immediately. The Manager reported the investigation completed in 2021 did not include a criminal investigation. There have been no criminal investigations or administrative investigations of sexual abuse or sexual harassment in 2022.

Indicator (b). As documented in 115.234, the Western Massachusetts Reentry Center currently does not have a trained investigator of sexual assault in a criminal justice facility. Currently, the Assistant Director's position is vacant, and the Program Director is new to the agency. The Program Director's supervisor (Contract Oversight Manager) and the PREA Coordinator have also been trained. The training they received was from the National Institute of Corrections. The Director described the training and the most helpful elements of the NIC training he received. Copy of the Contract Oversight Manager's Investigator Training Certificate was provided along with certificates for, the Agency's PREA Coordinator. All reports go up the chain for review to the Director of Reentry Operations who has an extensive background in investigations in his prior law enforcement career.

Indicator (c). As stated above, Western Massachusetts Reentry Center would not employ an investigator who would gather DNA or other physical evidence associated with a criminal investigation. DNA and physical evidence collection would be the responsibility of the West Springfield Police and the trained SANEs at the Baystate Medical Center. The Western Massachusetts Reentry Center Director confirmed she would ensure that the West Springfield Police Department would have access to all electronic monitoring information or any written reports completed by employees. CJR has trained staff on the importance of preserving the crime scene. The Contract Oversight Manager confirmed the agency would make staff and residents available for any criminal investigation as well as any written reports or electronic surveillance video that would aid in the investigation. He reports his investigation of non-criminal cases or administrative reviews of incidents that rose to the level of criminal investigation would also include interviews of the alleged parties involved as well as any witnesses. They would review video and client records for any relevant information that might impact the outcome of the investigation.

Indicator (d). This indicator would be the responsibility of the West Springfield Police Department, which would perform a criminal investigation. Western Massachusetts Reentry Center has not had any sexual assault investigations that required police involvement in criminal acts. In the interview with the Contract Oversight Manager, he described the steps he would take to ensure open communication in the event of criminal investigations between the West Springfield Police Department and CRJ. The agency and the police have established a relationship through other non-PREA cases. The Auditor was provided a letter supporting the relationship.

Indicator (e). Interview with the investigator (Director) supported that at no time does the Community Resources for Justice require individuals, during an investigation, to undergo a polygraph or other truth-telling device as a condition of said investigation. The investigator confirmed that the credibility of each individual is determined on an individual basis and not based on the individual's status as a staff member vs. a resident. The West Springfield Police do not require the use of any truth-telling devices to initiate a sexual assault investigation. CRJ policy states, "The credibility of an alleged victim, suspect, or witness shall be assessed on an individual basis and shall not be determined by the person's status as resident or staff. No agency shall require a resident who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation."

Indicator (f). The Contract Oversight Manager confirmed that he would decide in the course of the investigation if staff actions or failures contributed to the incident occurring as part of the administrative, investigative process and refer them to CRJ Senior Leadership. The Auditor reviewed the steps to be taken in the investigation process with the trained investigator. The Auditor considered the investigator's knowledge of what should be in an administrative investigation report, the steps taken to ensure a thorough investigation was completed, and the thought process used to draw conclusions. The Director was aware that the Administrative Investigation should not impede the criminal investigation process when a criminal investigation occurs. In the allegation reviewed from 2021, the investigator documented the use of video evidence as part of the process of determining the investigation's outcome.

Indicator (g). Criminal investigations report content would be the responsibility of the West Springfield police department. The agency would keep any communication on the criminal investigation as well as the administrative investigation. The Program Director reports they have developed relationships with the West Springfield Police Department since opening to ensure lines of communication can occur during an event like a PREA investigation. The agency has not had to request a copy of the completed criminal investigation as there have been none to date for criminal sexual misconduct.

Indicator (h). If an allegation is substantiated, the determination of a criminal investigation would be the West Springfield Police Department's responsibility, which would refer to the County Prosecutor for criminal prosecution.

Indicator (i) The CRJ PREA Coordinator would retain all investigative reports related to any PREA incident. The agency policy requires retention for a period of 10 years after an individual has left the facility.

Indicator (j) The Investigator interviewed confirmed that the departure of an alleged abuser or victim would not result in a premature conclusion of the administrative investigation. Policy 900.00 page 20 confirms that the "departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation." The facility did complete an investigation of the former resident's claim even though the individual was no longer at the facility.

Indicator (k) Auditor is not required to audit this provision.

Indicator (l) Western Massachusetts Reentry Center has provided documentation of a working relationship with the West Springfield Police Department. The Western Massachusetts Reentry Center Program Director reported that she would ensure open communication between the two agencies so that federal requirements of PREA, including required notifications, can be completed in a timely fashion. Policy 900.00 (page 20) requires the Director to remain informed about the outside investigative agency's progress.

Compliance Determination

No individual was a reported victim of sexual assault at Western Massachusetts Reentry Center for the Auditor to interview as part of this standards review. None of the current residents were involved in any of the previous administrative investigations. Absent a criminal case, the Auditor relied on interviews, policy, training records, and an administrative investigative file to determine compliance. The Auditor reviewed the information obtained in the sexual harassment claims. The interviews showed an understanding of the steps necessary to complete a thorough administrative investigation. The information included the steps necessary to determine witnesses' credibility, how staff actions impacted the incident, collaborate with outside agencies, and records retention requirements. In a community confinement facility, the perpetrator of sexual assault or sexual harassment would likely be removed from the facility, but the investigator

	<p>understood the necessity of completing an administrative investigation and deciding to substantiate or not substantiate or determine that the claim was unfounded. Absent a case in the past year the Auditor considered policy, a 2021 administrative investigation, and interviews with the trained investigator and the Program Director in determining compliance.</p>
--	---

115.272	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Memo from the Director on the standard used to determine the outcome.</p> <p>Individuals interviewed/ observations made.</p> <p>Trained staff Investigator</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) (page 18) stated that no greater standard than a preponderance of evidence would be used in substantiating an administrative investigation. “The agency shall impose no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.” The Interview with a trained CRJ investigator confirmed this expectation. In addition to stating this measure, the Contract Oversight Manager described how he comes to a conclusion in the administrative investigations he has completed.</p> <p>Compliance Determination</p> <p>The Auditor spoke with the Contract Oversight Manager as the trained investigator. CRJ has several staff trained in completing an administrative investigation of PREA claims of sexual abuse or sexual harassment. The Auditor confirmed there is no greater standard in determining the investigation outcome than a preponderance of the evidence. The Agency policy and investigation file reviewed by the Auditor also supports a determination of compliance.</p>

115.273	Reporting to residents
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1386 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 671 595">CRJ Client Notification Form</p> <p data-bbox="280 631 695 667">Administrative Investigations</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 1225 882">Interview with the Western Massachusetts Reentry Center Director</p> <p data-bbox="280 918 743 954">Interview with Assistant Director</p> <p data-bbox="280 990 740 1025">Interview with PREA Coordinator</p> <p data-bbox="280 1133 767 1169">Indicator Summary determination.</p> <p data-bbox="280 1276 1482 1688">Indicator (a). At the conclusion of an investigation, the Western Massachusetts Reentry Center and CRJ administration will ensure, according to interviews, that resident victims are informed of the outcome, including a determination that the claim is substantiated, unsubstantiated, or unfounded. The Agency PREA Policy requires notification to the victim as well as the Agency PREA Coordinator and the agency's executive team. The facility has a form for the notification of the resident on the outcome of sexual assault complaints. The agency form is to be used to inform residents of the outcome of both sexual assault allegations and allegations of sexual harassment. There were no cases in the past year and in the prior cases, the resident left the facility before the completion of the investigation.</p> <p data-bbox="280 1796 1426 2078">Indicator (b). As noted in 115.271 (l), if West Springfield Police Department is completing the criminal investigation, the facility director would open up communication channels to ensure sufficient information is obtained in a timely fashion to report to victim residents. CRJ would complete administrative investigations into sexual assault where appropriate. Such investigations would determine whether the staff's actions or inactions played a part in the assault. Absent a criminal case; the Auditor asked the Director of Western Massachusetts</p>

Reentry Center and the Contract Oversight Manager about how they would stay informed on a PREA criminal investigation.

Indicator (c). Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) (page 11) states, “following an allegation of abuse by a staff person, supervising staff shall take steps to separate them, so there is no possibility of further unmonitored contact between them until an investigation is completed. The appropriate staff shall determine if the staff member should be placed on administrative leave pending the results of an investigation”. The Program Director of Western Massachusetts Reentry Center is aware of the required notifications to the victim if an allegation involves a staff person, including when the staff person is no longer employed, has been indicted, or when the staff person is convicted. Given the short-term nature of the program, most likely indictments and convictions might not happen while the resident victim was still in custody.

Indicator (d). The Program Director of Western Massachusetts Reentry Center is also aware of notification to a victim when a resident perpetrator has been indicted or convicted. Since Western Massachusetts Reentry Center’s length of stay is usually under six months, notification on convictions would be unlikely and become the responsibility of the Victims’ Assistance Office of the Court system.

Indicator (e). The facility will provide the resident with a written notification of the investigative outcome. This will also go into the client’s permanent record and a copy will be forwarded to the PREA Coordinator. Documentation can also be written into Secure Manage. The agency completed the form to document the findings and why the resident was not informed, such as being no longer in custody.

Indicator (f). The Auditor is not required to audit this provision.

Compliance Determination

The Community Resources for Justice has put in place mechanisms to ensure residents are told of the outcome of sexual assault and sexual harassment claims. In determining compliance, the Auditor reviewed policies, websites, and reporting forms and conducted interviews with the Program Director, Contract Oversight Manager (administrative Investigations), and the agency’s PREA Coordinator. Based on the above-stated factors, Western Massachusetts Reentry Center is compliant in its ability to report to residents. Western Massachusetts Reentry Center had not had a sexual assault incident requiring resident notification. The agency policy requires notifications to be made on sexual harassment cases. The Auditor relied on the interviews, the reporting forms to be completed for sexual harassment cases, and

	the policy in determining compliance.
--	---------------------------------------

115.276	Disciplinary sanctions for staff
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 628 595">CRJ Employee handbook</p> <p data-bbox="280 703 906 739">Individuals interviewed/ observations made.</p> <p data-bbox="280 775 1206 810">Interview with Director of Western Massachusetts Reentry Center</p> <p data-bbox="280 846 826 882">Interview with Human Resources staff.</p> <p data-bbox="280 990 767 1025">Indicator Summary determination.</p> <p data-bbox="280 1061 1469 1429">Indicator (a). CRJ Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) states staff can be subjected to “disciplinary sanctions up to and including termination for violating CRJ sexual abuse or sexual harassment policy.” CRJ employee handbook (page 15) further informs staff of potential discipline. “The agency reserves the right to discipline or discharge any employee for violating any agency policy, practice, or rule of conduct.” The handbook goes on to state that, “Employees may also be disciplined or terminated for gross misconduct.” There were no staff disciplined in the past year for any form of sexual misconduct.</p> <p data-bbox="280 1536 1469 1993">Indicator (b). CRJ Policy 900.00 states, “Sexual abuse, sexual harassment or sexual contact with residents shall subject staff to appropriate discipline, up to and including termination.” The Employee handbook states, “Gross misconduct, including, but not limited to violations listed below, may result in the employee being terminated for a single violation.” Gross Misconduct includes acts which are criminal or presents a threat to the agency, its residents, or staff. Human Resources staff and the Director of Western Massachusetts Reentry Center confirmed that employees who engage in sexual misconduct with a resident can be terminated for the first offense. No employees of Western Massachusetts Reentry Center have been disciplined for sexual harassment or sexual abuse of clients at Western Massachusetts Reentry Center.</p>

Indicator (c). Community Resource for Justice is an at-will employer and has the ability to determine appropriate sanctions for non-criminal behavior. Policy 900.00 utilizes the standard language to state consequences should be commensurate with the nature of the offense and the employee's history with the agency. CRJ Employee handbook notifies staff that they can be terminated "All CRJ employees are at-will, which means they may be terminated at any time and for any reason, with or without advance notice. Employees are also free to quit at any time." Interviews confirmed that discipline for non-criminal behaviors would be based on the employee's overall history and the nature of the offense. In a second investigation, the Director's review resulted in a review of training with the staff involved.

Indicator (d). Western Massachusetts Reentry Center does not employ any individuals who perform duties in a licensed capacity. The facility will notify the West Springfield Police Department of all sexual assaults or sexual harassment behavior that appears to be criminal in nature, even if the employee has left the agency. The Director of Western Massachusetts Reentry Center confirmed that outcomes of administrative or criminal investigations related to sexual abuse or sexual harassment of clients would be forwarded to Human resources to become part of their employment record. As noted in Indicator (a), there were no cases of staff discipline.

Compliance Determination

The Community Resources for Justice has a policy in place that states staff who violate agency sexual abuse or sexual harassment policies are subject to disciplinary action (900.00 pages 20). Disciplinary actions, up to and including termination, will be taken for a substantiated finding of sexual abuse. Discipline, per policy, will be proportional to the nature and circumstances of the acts committed and comparable to other staff with similar histories. All sexual abuse allegations will be reported to the local authorities regardless of whether the staff resigns or is terminated.

No Western Massachusetts Reentry Center staff has been disciplined for a PREA related violation in the past year. Compliance was based on policy and the interview with the Program Director of Western Massachusetts Reentry Center, the agency PREA Coordinator, and the Human Resources staff. The Auditor also took into consideration the agency PREA policy and CRJ employee handbook, which described the discipline process for staff, including grounds for immediate termination for "gross misconduct."

115.277	Corrective action for contractors and volunteers
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 791 595">PREA training record for contractors</p> <p data-bbox="280 698 906 734">Individuals interviewed/ observations made.</p> <p data-bbox="280 770 1086 806">Western Massachusetts Reentry Center Program Director</p> <p data-bbox="280 842 531 878">PREA Coordinator</p> <p data-bbox="280 981 767 1016">Indicator Summary determination.</p> <p data-bbox="280 1128 1481 1747">Indicator (a). Western Massachusetts Reentry Center does not employ any individual contractor to provide direct service to residents in the licensed capacity. The facility has no direct service contractors; all contractors entering the facility are supervised by staff. The contractors entering are one-time individuals with the exception of the food service delivery which comes from the County Jail and a Water Delivery person. The food service staff drop off meals to daily and water delivery is as needed. Neither group interacts directly with the residents. Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) allows for the immediate cessation of visits by any contractor or volunteer accused of engaging in sexual misconduct. “Any contractor or volunteer who engages in sexual abuse or sexual harassment shall be prohibited from entry to any CRJ programs and shall be reported to law enforcement agencies (unless the activity was clearly not criminal) and to relevant licensing bodies.” The agency policy requires all criminal behavior to be reported to the police, no matter if the individual is an employee, a contractor, a volunteer, or a visitor. There are no volunteers currently a WMRC.</p> <p data-bbox="280 1859 1481 2060">Indicator (b). According to CRJ and Western Massachusetts Reentry Center policy 900.00 (pages 20-21), in the case of any violation of boundary issues by any contractor or volunteer, the Facility Director will determine if the violation is non-criminal actions should result in the termination of their contact with residents. “The facility shall take appropriate remedial measures and shall consider whether to</p>

prohibit further contact with residents, in the case of any other violation of CRJ sexual abuse or sexual harassment policies by a contractor or volunteer.” According to Program Director, criminal actions would notify the Police and funding source. She confirms the individual would have an immediate termination of access to residents during the investigation.

Compliance Determination

Western Massachusetts Reentry Center does not employ contractors who provide direct services to the clients or any volunteers or college interns. The program had no current volunteers at the time of the audit. CRJ policy 900.00 Resident and Staff Sexual abuse and Sexual Misconduct (PREA) (page 18) require the notification to law enforcement of any PREA violations, and the misconduct would be grounds for barring admission to the facility (page 20). All non-employees (food and water vendors) entering the site are supervised while on location. As noted in 115.232, all individuals entering the facility are educated about PREA, and Contractors or volunteers are supervised. The facility has not employed or received any voluntary services of a professional to whom a licensing board would be informed for violations of PREA. The Agency PREA Coordinator reports that no volunteer or contractor was the subject of any PREA-related investigation in the past year or required any corrective actions. Compliance, absent any discipline of volunteers or contractors, is based on the policy that supports investigation discipline, and removal of contact.

115.278	Disciplinary sanctions for residents
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 557 595">Resident Handbook</p> <p data-bbox="280 698 906 734">Individuals interviewed/ observations made.</p> <p data-bbox="280 770 523 806">Program Director</p> <p data-bbox="280 842 421 878">Residents</p> <p data-bbox="280 981 767 1016">Indicator Summary determination.</p> <p data-bbox="280 1128 1469 1621">Indicator (a). Policy 900 Staff and Resident Sexual Abuse (PREA) sets forth the requirement of any resident found to have engaged in resident-on-resident sexual abuse can be subject to discipline. It states, “residents will be subject to disciplinary sanctions pursuant to a formal disciplinary proceeding following an administrative finding that the resident engaged in resident-on-resident sexual abuse or sexual harassment or following a criminal investigation” (page 21). At Western Massachusetts Reentry Center, there have been zero resident-on-resident sexual abuse cases. Without a case of confirmed resident-on-resident abuse, the Auditor must rely on the policy, resident handbook information defining discipline and facility leadership. As a Community Confinement Center, the belief is that a new criminal charge would likely result in an immediate placement in a higher level of custody.</p> <p data-bbox="280 1733 1469 2056">Indicator (b). The Facility Director reports that the discipline process is fair and has consequences that vary based on the severity of guideline violation. The resident handbook outlines prohibited actions and types of sanctions for non-criminal acts. As a community confinement center, the local police or referring authority would immediately remove residents engaging in sexual abuse. An interview with the Program Director confirms that the individual’s prior disciplinary history could weigh in the process and that sanctions would be consistent with those who committed similar offenses.</p>

Indicator (c). Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA), page 21, requires consideration of the resident's mental illness or disability in determining appropriate sanctions. The policy states, "The disciplinary process shall consider whether a resident's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed." An interview with the facility's Program Director confirms that before enacting any discipline of the resident for actions outside of sexual abuse she would take into consideration the resident's ability to comprehend their actions. As noted above in cases where sexual abuse has occurred the individual would be removed from WMRC.

Indicator (d). As a community confinement facility, it would be unlikely that the perpetrator of sexual abuse or aggression would stay in the facility. Individuals who engage in such actions would likely be returned to higher levels of custody. Western Massachusetts Reentry Center can refer individuals with sexual abuse histories to outside counseling at Springfield YWCA or other mental health programs in the area. The Springfield YWCA staff confirms they can provide this counseling to individuals with sexual abuse histories.

Indicator (e). Policy 900.00 confirms on page 21 that residents will not be disciplined for engaging in consensual sexual contact with the staff. "The program may discipline a resident for engaging in sexual contact with a staff only after an investigation finding the staff did not consent." The Auditor also confirmed with the Program Director that residents in these situations would be considered victims and not be subjected to disciplinary actions.

Indicator (f). Community Resources for Justice Policy 900.00 and the Western Massachusetts Reentry Center resident handbook (page 6) confirm that a resident can be disciplined if they purposefully lied in submitting a PREA-related complaint. The policy states that complaint files with a reasonable belief that the alleged conduct that occurred shall not constitute a false allegation. CRJ administration confirmed that this would only occur after the completion of an investigation, which supported such intent in its findings. Interviews with residents confirmed an understanding that PREA complaints cannot result in discipline without an investigation substantiating an intentionally false report. There were zero investigations of false reports related to sexual abuse or sexual harassment claims in the past year.

Indicator (g). Western Massachusetts Reentry Center prohibits sexual contact

between residents. It is stated in the resident handbook that residents may not engage in sexual acts. According to the facility Director, if residents have engaged in sexual activities, there would be an investigation of facts, and residents would be met with to ensure there was no intimidation by either party to claim the activity as consensual. Residents who would be disciplined through this process would have notifications sent to their referring authorities.

Compliance Determination:

The Western Massachusetts Reentry Center has a policy that addresses the concerns of this standard. The residents are also afforded information related to sexual misconduct in the facility in the resident handbook. These documents address the conditions in which a resident could be disciplined that sanctions are equivalent to the nature of the misconduct, the required consideration of a resident's mental health or functioning level, and the consequences for sexual misconduct between residents. Interviews with the Program Director confirmed policy expectations, including no discipline for the residents in consensual acts with staff persons.

Interviews with residents confirm that they are told of prohibited acts at Western Massachusetts Reentry Center at admission and are provided a handbook that outlines the discipline process. Compliance, absent a disciplinary event for sexual assault, is based on policy, handling sexual harassment claims, information available through the client handbook and administration, line staff, and resident interviews.

115.282	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900 Staff and Resident Sexual Misconduct (PREA)</p> <p>MA Attorney General’s Website</p> <p>MA SANE Training Site</p> <p>YWCA of Western Mass Website</p> <p>Documentation from Baystate Medical Center</p> <p>Individuals interviewed/ observations made.</p> <p>The Baystate Medical Center</p> <p>Representative of Springfield YWCA</p> <p>Random Staff</p> <p>Program Director</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center has an agreement for the medical treatment of victims of sexual abuse. The Baystate Medical Center in Springfield will provide victims of sexual assault appropriate services. The Baystate Medical Center can provide emergency services, including access to trained Sexual Assault Nurse Examiners. The facility’s coordinated response plan requires potential victims to be sent to the hospital. Ongoing support for medical support for victims of abuse can occur at Bay State facility or at other community health agencies. Policy 900.00 Staff and Resident Sexual Misconduct (Page 14) has language requiring unimpeded access to care for victims of sexual abuse consistent with the language of the indicator. “Resident victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature</p>

and scope of which are determined by medical and mental health practitioners according to their professional judgment.” Staff interviewed understood that resident victims should be offered the opportunity to go to the hospital for a forensic exam. As an open program residents can be approved to leave the facility to seek health care services. There were no cases in the past year of a resident having to go to the hospital for treatment related to sexual abuse.

Indicator (b). Western Massachusetts Reentry Center does not employ medical or mental health staff. All victims would be sent to the hospital. All staff at Western Massachusetts Reentry Center are trained as first responders. In their interviews, the random staff knew the need to preserve evidence and the importance of emotionally supporting the victim. Western Massachusetts Reentry Center has a coordinated response plan that confirms this practice. Interviews with staff further confirmed the importance of an immediate response to actual sexual abuse incidents and any situation where residents state concern of potential abuse. Staff described the importance of providing physical and emotional safety to the victim and the importance of immediate access to hospital care.

Indicator (c). Interviews with staff at The Baystate Medical Center supported residents would be offered information on emergency contraception and prophylactic medication as necessary. After the emergency visit to the hospital, they may do follow-up care or at area health clinics, where they can receive appropriate services, including medication, even if initially refused.

Indicator (d). Community Resources for Justice policy 900.00 (page 14) states, “treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation.” Interviews with community service providers and information on the Massachusetts Victims Compensation Fund website confirm there is no cost for treating victims of sexual assault. The state website states the following; “The MA Attorney General’s Office (AGO), Executive Office of Health and Human Services (EHHS), Executive Office of Safety and Security (EOPSS), and MA Hospital Association (MHA) have worked together to ensure that post-assault sexual assault care including forensic examinations are provided to victims at “no cost” as required by Violence Against Women Act (VAWA).” The Victims Compensation Fund is available to ensure no cost for treatment, thus removing fiscal concerns as a barrier to seek treatment.

Compliance Determination

Western Massachusetts Reentry Center does not employ medical or mental health staff. They have trained staff in the duties of the first responders, including getting the victim to treatment services as soon as possible. Line staff are aware they

	<p>should only ask the victim enough information to be able to obtain appropriate treatment. They are also mindful of the importance of protecting evidence, including informing resident victims not to take any action that would degrade evidence. Victims of sexual assault at Western Massachusetts Reentry Center have appropriate access to medical and mental health services without cost. The Auditor finds the standard to be in compliance. Absent a case requiring the plan's implementation. The Auditor relied on policy, staff, and administration knowledge of the coordinated plan and community resource information to determine compliance.</p>
--	--

115.283	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Western Massachusetts Reentry Center Resident Handbook.</p> <p>Baystate medical Services</p> <p>Adult Sexual Assault Law Enforcement Guidelines 2017</p> <p>Individuals interviewed/ observations made.</p> <p>Case management staff</p> <p>Local rape crisis agency</p> <p>Baystate Medical Center representative</p> <p>Indicator Summary determination.</p> <p>Indicator (a). Western Massachusetts Reentry Center will offer medical or mental health evaluations and treatment referrals to individuals sexually abused at the facility or during a previous institutional stay. A resident who reports prior victimization history to the Western Massachusetts Reentry Center staff would be offered a referral to community-based counseling services available in the region. Residents can locally access mental health, substance abuse, and psychiatric care in the greater Springfield area. Residents acknowledged they believe the staff will aid individual victims in finding services. The Auditor received information in 115.41 to support those individuals with past victimization histories and was offered a referral for counseling services. Identified residents with victimization histories interviewed confirmed their access to community-based counseling services. Representatives of the Baystate Medical Center confirmed their ongoing support to the victim's medical needs. CRJ acknowledges that residents have a right to refuse treatment but requests that they sign a form that acknowledges this fact. Case Management staff will encourage treatment and explain the reasons why it is important. The Case Management staff will provide support and referrals at a later</p>

date if the victim changes their mind. There have been no cases to date of any resident being victimized at the WMRC.

Indicator (b). Representatives of local medical and mental health clinics confirm they can provide ongoing services while the individual remains at Western Massachusetts Reentry Center. Western Massachusetts Reentry Center does not subcontract for these services, but they are available to the resident through various local service providers. If the resident leaves the area, these agencies confirm they will aid in the continuity of services by making referral recommendations close to the community where they will be living. The representative of Springfield YWCA also confirmed that individuals with whom they have provided supportive services would be offered information about the availability of support in the community in which the individual was going to live.

Indicator (c). Medical and mental health services are available at several community-based providers. Representatives told the Auditor of these facilities that Western Massachusetts Reentry Center clients receive the same services that all individuals living in the community seeking services would receive. In addition to the interview with community agency representatives, the Auditor reviewed several agencies' websites for information on service availability.

Indicator (d). The Baystate Medical Center staff confirmed residents of Western Massachusetts Reentry Center who were victims of sexual assault would be offered pregnancy testing.

Indicator (e). The Baystate Medical Center staff confirmed if the sexual assault results in pregnancy, the victim would receive counseling on pregnancy-related medical services.

Indicator (f). The Baystate Medical Center staff confirmed HIV testing is available to all victims of sexual abuse.

Indicator (g). Treatment services are provided to victims even if they do not name the abuser or cooperate fully with the investigation. Interviews confirmed the stated CRJ policy (900.00 (page 14), "treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation." The Program Director confirmed the agency's commitment to removing any barrier to preventing a victim from pursuing treatment.

Massachusetts has put in place financial resources to support victims and ensure that fiscal considerations are not a barrier to a individual seeking treatment.

Indicator (h). The CRJ's PREA policy 900.00 (page 14) would put in place a follow-up assessment requirement if a perpetrating individual were to remain in custody. "The program will attempt to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners." As a Community Confinement Facility, it would be unlikely a perpetrating individual would remain in such a level of custody. Such individuals would most likely be in local police custody as part of the ongoing criminal case.

Compliance Determination

The Community Resources for Justice is committed to ensuring residents in all their programs have ongoing access to services if they have been a victim of sexual abuse in any criminal justice setting. Agency Policy 900.00 speaks to each aspect of this standard. The residents have access to area service providers who can provide victims of abuse the appropriate ongoing support and treatment. Interviews with local hospital and community health providers confirmed that resident victims could receive free-of-charge services, including HIV testing, prophylactic treatment, pregnancy testing, and related services. The Auditor, in determining compliance, considered conversations with the community service providers, the Program Director, interviews with case management staff and residents with victimization histories, as well as resident records. The Auditor also completed internet research on the various health service agencies to further support the finding of compliance.

115.286	Sexual abuse incident reviews
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>86</p> <p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>Policy 221 Emergency Plans</p> <p>PREA Incident review form 2021</p> <p>Individuals interviewed/ observations made.</p> <p>Western Massachusetts Reentry Center Director</p> <p>Contract Oversight Manager</p> <p>Director of Reentry Operations</p> <p>PREA Coordinator</p> <p>Indicator Summary determination.</p> <p>Indicator (a). the Community Resources for Justice Policy 900.00 Staff and Resident Sexual Misconduct (page 21) set forth the obligation to have a critical review of all incidents of sexual abuse unless the allegation has been unfounded. “The facility shall conduct a sexual abuse or sexual harassment incident review at the conclusion of every sexual abuse/harassment investigation, including where the allegation has not been substantiated” The agency policy goes beyond the standard requirement as it requires reviews of sexual harassment cases in addition to the sexual abuse cases. The Agency’s Emergency Plan policy 2.2.1 page 3 also sets forth a practice of critical incident reviews. There were no allegations in the year prior to the site visit. The Agency provided documentation of a 2021 unfounded case which they completed a review, though not required because the incident was unfounded, to document a recommendation.</p> <p>Indicator (b). Policy 900.00 states the review “will normally occur within 30 days of</p>

the conclusion of an investigation.” The Auditor can only assess the timeliness without a recent complaint based on policy language and interviews with senior management staff. In the 2021 unfounded case the PREA Coordinator documented the review with in two days of the completion of the investigation.

Indicator (c). The PREA Policy states, “T The review team shall include upper-level management officials, with input from line supervisors, investigators, local law enforcement and medical or mental health practitioners.” The WMRC does not employ medical or mental health staff and in the one example the review included the facility Director and the PREA Coordinator. The PREA Coordinator reports, the Contract Oversight Manager, and Case Managers, when appropriate would be added. If the case was criminal, the review would include information obtained from law enforcement or community medical or mental health service providers. The Director of Reentry Operation will also complete a critical review of the incident.

Indicator (d). The CRJ policy 900.00 (pages 21-22) defines the elements to be considered by the review team consistent with this indicator’s requirement. The Policy states, “The review team shall:

- a. Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse;
- b. Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility;
- c. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse;
- d. Assess the adequacy of staffing levels in that area during different shifts;
- e. Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff and current camera systems; and
- f. Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to sections a. – e. (above) and any recommendations for improvement, and submit such report to CRJ’s Chief Operating Officer (COO), the Program Director and the PREA Coordinator.

5. The facility shall implement the recommendations for improvement or shall document its reasons for non-compliance. In addition to the policy, the Auditor was able to see the intended form used to record the information discussed. The Auditor also confirmed with the Facility Director and the PREA Coordinator the elements that

would be discussed.”

The agency has developed a review form that ensures consistent information is considered including the required elements of this indicator.

Indicator (e). Policy 900.00 states, “The facility shall implement the recommendations for improvement, or shall document its reasons for non-compliance.” Interviews with facility Director and Agency PREA Coordinator support understanding how information from incident reviews would spur action. In discussions with Contract Oversight Manager further support both an immediate response to an identified need and the agency’s overall process to use a critical review as a mechanism for overall improvement. As noted in indicator (a) there was a review in the 2021 unfounded case where information about the camera locations/ angles were recommended to be adjusted.

Compliance Determination

The Western Massachusetts Reentry Center ensures allegations for sexual assault have a review. The agency policy states reviews will occur on sexual harassment cases also that are substantiated or unsubstantiated. The Auditor reviewed a completed Incident Review form from early 2021, as well as policy and interviews to confirm compliance. Interviews with senior management of the agency and facility support an understanding of the requirements of the indicators. The Interviews also supported an understanding of how critical review could put into action changes in policy or procedures if needed. CRJ upper Administration reportedly look not only at incidents reviews as an opportunity to improve the program in question but an opportunity to raise the bar of safety across the agency.

115.287	Data collection
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 616 595">CRJ PREA Annual report</p> <p data-bbox="280 631 639 667">WMRC Data Spreadsheet</p> <p data-bbox="280 703 536 739">SQA Audit reports</p> <p data-bbox="280 913 906 949">Individuals interviewed/ observations made.</p> <p data-bbox="280 985 533 1021">PREA Coordinator</p> <p data-bbox="280 1128 767 1164">Indicator Summary determination.</p> <p data-bbox="280 1272 1465 1854">Indicator (a). CRJ collects uniform data on all its facilities. The Auditor was provided with a spreadsheet of Data, which includes some 56 data points related to PREA. The spreadsheet collects information on PREA complaints/investigations and tracks screening information, population, grievances, searches, and a number of notifications of investigation outcomes, to name a few items. The definitions used by the Agency in Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA) are consistent with the PREA guidelines for Sexual Abuse and Sexual Harassment. Agency Policy states, “CRJ shall collect accurate, uniform data for every allegation of sexual abuse and sexual harassment at all facilities under its direct control using a standardized instrument and set of definitions. CRJ shall aggregate the incident-based sexual abuse data at least annually.” The PREA Coordinator receives data per month from each of the agency’s programs allowing him as the Deputy Director of Standards and Quality Assurance to track progress/ trends on both a facility and agency level.</p> <p data-bbox="280 1962 1469 2087">Indicator (b). The agency takes collected aggregate data at the facility and agency levels to attempt to identify trends. The PREA Coordinator receives information on a monthly basis from each of the Social Justice Services Programs. CRJ management</p>

interviews support an active review of all incidents to determine trends or needs. A client safety issue identified in non-PREA incidents could result in a solution that could also benefit sexual safety (i.e., Camera purchases, procedural changes). The facility has completed an annual report which shows aggregate data.

Indicator (c). The Auditor compared interviews with the Agency PREA Coordinator and information from the PREA DATA Spreadsheet to the SSV-4 form. The Auditor was able to identify the key elements of the Survey of Sexual Violence in the CRJ data report. Each of the agency's reentry facilities are required to forward to the Quality Assurance Department. The PREA Coordinator is the Deputy Director of Standards and Quality Assurance. In this role, the SQA team produces reports for the agency management team. The Auditor was provided information from Audits further supporting how data can be obtained by CRJ's central administration.

Indicator (d). All incident reports and investigations are forwarded to the Agency PREA Coordinator for the required storage.

Indicator (e). N/A- the facility does not contract for the confinement of residents.

Indicator (f). N/A- The Department of Justice has not asked Western Massachusetts Reentry Center for the SSV data, though the elements collected by the facility and the PREA Coordinator to support an ability to complete said report.

Compliance Determination

The Community Resources for Justice collects information sufficient to complete the Survey of Sexual Victimization (SSV) in all its programs, including the Western Massachusetts Reentry Center. Indicator (e) does not apply as CRJ does not contract for beds. Western Massachusetts Reentry Center has not been requested to complete the SSV report or provide other related data to the Department of Justice (indicator (f)). The Auditor was also able to see a summary report of all programs CRJ runs and their incidents of PREA-related events. The report ensures uniformity of data and incident-based tracking of sexual assaults and sexual harassment complaints. The agency policy 900.00 (page 22) commits the agency to comply with the standard's data collection requirement. Compliance is based on the information provided to the Auditor and the interview with the Agency PREA Coordinator, who oversees Quality Assurance in the Reentry facilities. The agency PREA Coordinator is responsible for maintaining the Agency aggregate data on all facilities.

115.288	Data review for corrective action
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 580 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 815 595">CRJ Website with PREA Annual Report</p> <p data-bbox="280 631 1027 667">Documentation of CEO approval of the annual report</p> <p data-bbox="280 775 906 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 533 882">PREA Coordinator</p> <p data-bbox="280 918 683 954">Contract Oversight Manager</p> <p data-bbox="280 990 523 1025">Program Director</p> <p data-bbox="280 1061 715 1097">Director of Reentry Operations</p> <p data-bbox="280 1205 767 1240">Indicator Summary determination.</p> <p data-bbox="280 1348 1481 1630">Indicator (a). CRJ's PREA Coordinator reportedly meets with the Social Justice Services leadership monthly. The group reviews any PREA-related concerns or other client safety issues and looks for trends. If a sexual abuse incident review identified a concern, this group would further assess the nature of the corresponding response at the agency level. Since this group member would also be involved in the facility-level reviews, they would enable change, when needed, across all facilities. These steps provide the basis for the annual report analysis.</p> <p data-bbox="280 1738 1481 1818">Indicator (b). The Auditor's review of the annual report shows a comparison with the previous year's data.</p> <p data-bbox="280 1926 1481 2007">Indicator (c). The Annual Report is on the agency website. The last five years reports are currently available.</p>

Indicator (d). The agency has not had to redact information to date that would impact the security of the facility.

Compliance Determination

Western Massachusetts Reentry Center and the Community Resources for Justice policy (900.00) addresses the standard's requirements on the use of data for corrective action. CRJ's Standards and Quality Assurance Department have developed a database that supports corrective action through routine elements monitoring. The department collects over 50 factors related to PREA and has a mechanism to assess agency-wide needs/improvements. The features look at various indicators in the facility's efforts to prevent, detect, and respond to PREA incidents, including education, screening, and investigatory requirements. Since the facility does not have a history of PREA incidents, there is limited data from which to make a critical analysis. As a result, the agency looks at these events and other non-PREA events when determining safety concerns. The PREA Coordinator leads the agency's standards and accreditation process and has created a system in which problem areas can be identified and corrective action plans monitored. The agency PREA Coordinator, the Program Director, the Director of Reentry Operations, and the Contract Oversight Manager all committed in interviews to using data to inform practice and identify change when needed. The agency has posted to the website and annual report approved by the Agency's Chief Executive Officer. The report looks at the data across the system and points toward the agency's ongoing efforts to be responsive. Compliance is based on the data provided, the information posted to the agency website, and the interviews. The interviews supported a consistent message; that data analysis for program improvement is an agency-wide practice.

115.289	Data storage, publication, and destruction
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 1385 524">Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p data-bbox="280 560 448 595">CRJ website</p> <p data-bbox="280 631 571 667">Annual PREA reports</p> <p data-bbox="280 775 903 810">Individuals interviewed/ observations made.</p> <p data-bbox="280 846 531 882">PREA Coordinator</p> <p data-bbox="280 918 504 954">Facility Director</p> <p data-bbox="280 990 943 1025">Tour of Western Massachusetts Reentry Center</p> <p data-bbox="280 1133 767 1169">Indicator Summary determination.</p> <p data-bbox="280 1205 1477 1617">Indicator (a). Agency records are maintained securely in the SecurManage software program. The system reportedly utilizes access controls to different fields of information based on an employee’s job description. CRJ PREA Policy 900.00 (page 22) states, “CRJ shall ensure that data collected pursuant to Section Q. are securely retained. CRJ shall make all aggregated sexual abuse data from programs under its direct control readily available to the public at least annually through its website. Before making aggregated sexual abuse data publicly available, CRJ shall remove all personal identifiers. CRJ shall maintain sexual abuse data collected pursuant to Section Q. for at least ten years after the date of the initial collection unless Federal, State, or local law requires otherwise.”</p> <p data-bbox="280 1724 1453 1841">Indicator (b). In the Auditor’s review of the CRJ Website, he found the last six years of annual reports available to the public. This also supports the policy language provided in indicator (a).</p> <p data-bbox="280 1948 1469 2029">Indicator (c) The Auditor’s review of aggregate reports shows no identifiers are used that could result in the identification of any victim of sexual abuse.</p>

Indicator (d). The PREA Coordinator reports PREA data will be maintained for at least ten years. Agency Policy as shown in indicator (a) requires the data to be maintained for ten years.

Compliance Determination

The Community Resources for Justice PREA policy 900.00 addresses this standard's requirements on pages 21- 22. All facility data is provided to the agency PREA Coordinator responsible for maintaining and securing all data. In the event of an incident, all identifying information would be removed before any information is made public. CRJ has a unit dedicated to Standards and Quality Assurance; it is this unit's responsibility to maintain data for a minimum of 10 years. No state or local law is requiring more extended maintenance of the records. The PREA Coordinator works with the Agency's Head and the Contract Oversight Manager to develop an annual report.

Compliance is based on the annual report's information, which includes no identifiers and includes information on all PREA required facilities run by CRJ. The policy indications on handling information support compliance, as did interviews with the Agency's PREA Coordinator and facility Director. The interviews support an understanding that all data is maintained for at least ten years. The annual report is posted on the agency website as required.

115.401	Frequency and scope of audits
	<p data-bbox="280 188 1007 224">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="280 264 579 300">Auditor Discussion</p> <p data-bbox="280 340 1070 376">Policies and written/electronic documentation reviewed.</p> <p data-bbox="280 416 1182 452">Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p data-bbox="280 488 544 524">CRJ Website/ PREA</p> <p data-bbox="280 631 906 667">Individuals interviewed/ observations made.</p> <p data-bbox="280 703 943 739">Tour of Western Massachusetts Reentry Center</p> <p data-bbox="280 775 1249 810">General observation of staff and resident interactions by the Auditor</p> <p data-bbox="280 918 767 954">Indicator Summary determination.</p> <p data-bbox="280 990 1457 1191">Indicator (a). CRJ is in its fourth cycle of audits. In the last three years, the agency, had all of its adult Reentry programs, all of which were audited on compliance with PREA. This is the first Audit of the Western Massachusetts Reentry Center which opened about 18 months ago. The prior version of this program was the responsibility of another agency.</p> <p data-bbox="280 1299 1473 1500">Indicator (b). CRJ has Audits spread out over all three years of the Audit cycle. The agency has added and lost programming but has still maintained audits in each of the cycle years. In the last few years, the agency has added or reopened residential programs required to be PREA Compliant. WMRC and One other newer Program are to be audited in the first year of this new audit cycle.</p> <p data-bbox="280 1608 1477 1809">Indicator (h). The Auditor was not only provided access to all areas during the tour and was also able to move freely about the facility to observe staff and resident interactions. The interviews occurred in private office away from other residents and staff. Interviewees were informed on the confidentiality of the interview process unless abuse was occurring in the facility.</p> <p data-bbox="280 1917 1473 2078">Indicator (i). The Auditor was permitted to request and receive copies of relevant documents. Information was provided in advance, and more was furnished onsite at the Auditor's request. The Agency PREA Coordinator provided additional clarity as needed during the post-audit period. The Auditor was able to see the secure</p>

manage electronic case management system used in the facility. Additional documentation was asked to be uploaded after the site visit.

Indicator (m). The Auditor was able to meet in a private space with clients and staff. The Auditor was provided with use of the Intake /Release Coordinator's Office. Residents were told they could leave the door open if it made them more comfortable.

Indicator (n). Posting with the Auditor's contact information was found throughout the facility. The Auditor confirmed the postings were up for weeks prior to the site visit through interviews with staff and residents. The Program Director was reminded that the notices must stay up until the final report is issued.

Compliance Determination

The standard is Compliant based on evidence that the organization Community Resources for Justice has maintained a consistent application of PREA, including required audits over the last five years. As an Auditor, the facility was helpful in preparing documents and the support of staff to get the identified individuals to the interviews in a timely manner. An Opening and a Closing meeting allowed for leadership from across the Agency to participate through the use of zoom. The Auditor also met some of the local Probation staff who come to the facility to obtain feedback

115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Policies and written/electronic documentation reviewed.</p> <p>Western Massachusetts Reentry Center Pre-Audit Questionnaire</p> <p>Policy 900.00 Staff and Resident Sexual Abuse and Sexual Harassment (PREA)</p> <p>CRJ website</p> <p>Individuals interviewed/ observations made.</p> <p>PREA Coordinator</p> <p>Summary determination</p> <p>Indicator (f). The Community Resources for Justice has posted on its agency's website (CRJ.org) PREA Audit reports Dating back to 2015. The PREA Audits cover all the facilities in Social Justice Programs required to meet PREA. There is no prior PREA Audit for WMRC since this is the program's initial PREA Audit.</p> <p>Compliance determination</p> <p>The Community Resources for Justice is compliant based on the agency website's review, which showed prior PREA reports posted.</p>

Appendix: Provision Findings		
115.211 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.211 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its community confinement facilities?	yes
115.212 (a)	Contracting with other entities for the confinement of residents	
	If this agency is public and it contracts for the confinement of its residents with private agencies or other entities, including other government agencies, has the agency included the entity's obligation to adopt and comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.)	na
115.212 (b)	Contracting with other entities for the confinement of residents	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of residents.)	na

115.212 (c)	Contracting with other entities for the confinement of residents	
	If the agency has entered into a contract with an entity that fails to comply with the PREA standards, did the agency do so only in emergency circumstances after making all reasonable attempts to find a PREA compliant private agency or other entity to confine residents? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.)	na
	In such a case, does the agency document its unsuccessful attempts to find an entity in compliance with the standards? (N/A if the agency has not entered into a contract with an entity that fails to comply with the PREA standards.)	na
115.213 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring to protect residents against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The physical layout of each facility?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the resident population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.213 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (NA if no deviations from staffing plan.)	na

115.213 (c)	Supervision and monitoring	
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to prevailing staffing patterns?	yes
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility assessed, determined, and documented whether adjustments are needed to the resources the facility has available to commit to ensure adequate staffing levels?	yes
115.215 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip searches or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.215 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female residents, except in exigent circumstances? (N/A if the facility does not have female inmates.)	yes
	Does the facility always refrain from restricting female residents' access to regularly available programming or other outside opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)	yes
115.215 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female residents?	yes

115.215 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enable residents to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enable residents to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an area where residents are likely to be showering, performing bodily functions, or changing clothing?	yes
115.215 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex residents for the sole purpose of determining the resident's genital status?	yes
	If the resident's genital status is unknown, does the facility determine genital status during conversations with the resident, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
115.215 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex residents in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.216 (a)	Residents with disabilities and residents who are limited English proficient	
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Residents who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that residents with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with residents who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with residents with disabilities including residents who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with residents with disabilities including residents who: Who are blind or have low vision?	yes
115.216 (b)	Residents with disabilities and residents who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to residents who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.216 (c)	Residents with disabilities and residents who are limited English proficient	
	Does the agency always refrain from relying on resident interpreters, resident readers, or other types of resident assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the resident's safety, the performance of first-response duties under §115.264, or the investigation of the resident's allegations?	yes

115.217 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?	yes
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of the services of any contractor who may have contact with residents who: Has been civilly or administratively adjudicated to have engaged in the activity described in the two questions immediately above ?	yes
115.217 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with residents?	yes
	Does the agency consider any incidents of sexual harassment in determining to enlist the services of any contractor who may have contact with residents?	yes

115.217 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with residents, does the agency: Perform a criminal background records check?	yes
	Before hiring new employees who may have contact with residents, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.217 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with residents?	yes
115.217 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with residents or have in place a system for otherwise capturing such information for current employees?	yes
115.217 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with residents directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes

115.217 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.217 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.218 (a)	Upgrades to facilities and technology	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012 or since the last PREA audit, whichever is later.)	yes
115.218 (b)	Upgrades to facilities and technology	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect residents from sexual abuse? (N/A if agency/facility has not installed or updated any video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012 or since the last PREA audit, whichever is later.)	yes
115.221 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes

115.221 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (NA if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)	yes
115.221 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.221 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member?	yes
	Has the agency documented its efforts to secure services from rape crisis centers?	yes

115.221 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.221 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
115.221 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency attempts to make a victim advocate from a rape crisis center available to victims per 115.221(d) above).	yes
115.222 (a)	Policies to ensure referrals of allegations for investigations	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes

115.222 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.222 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for conducting criminal investigations. See 115.221(a).)	yes

115.231 (a)	Employee training	
	Does the agency train all employees who may have contact with residents on: Its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with residents on: How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with residents on: Residents' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with residents on: The right of residents and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with residents on: The dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with residents on: The common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with residents on: How to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with residents on: How to avoid inappropriate relationships with residents?	yes
	Does the agency train all employees who may have contact with residents on: How to communicate effectively and professionally with residents, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming residents?	yes
	Does the agency train all employees who may have contact with residents on: How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes

115.231 (b)	Employee training	
	Is such training tailored to the gender of the residents at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male residents to a facility that houses only female residents, or vice versa?	yes
115.231 (c)	Employee training	
	Have all current employees who may have contact with residents received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.231 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.232 (a)	Volunteer and contractor training	
	Has the agency ensured that all volunteers and contractors who have contact with residents have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.232 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with residents been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with residents)?	yes

115.232 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.233 (a)	Resident education	
	During intake, do residents receive information explaining: The agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do residents receive information explaining: How to report incidents or suspicions of sexual abuse or sexual harassment?	yes
	During intake, do residents receive information explaining: Their rights to be free from sexual abuse and sexual harassment?	yes
	During intake, do residents receive information explaining: Their rights to be free from retaliation for reporting such incidents?	yes
	During intake, do residents receive information regarding agency policies and procedures for responding to such incidents?	yes
115.233 (b)	Resident education	
	Does the agency provide refresher information whenever a resident is transferred to a different facility?	yes

115.233 (c)	Resident education	
	Does the agency provide resident education in formats accessible to all residents, including those who: Are limited English proficient?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are deaf?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are visually impaired?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Are otherwise disabled?	yes
	Does the agency provide resident education in formats accessible to all residents, including those who: Have limited reading skills?	yes
115.233 (d)	Resident education	
	Does the agency maintain documentation of resident participation in these education sessions?	yes
115.233 (e)	Resident education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to residents through posters, resident handbooks, or other written formats?	yes
115.234 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.231, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes

115.234 (b)	Specialized training: Investigations	
	Does this specialized training include: Techniques for interviewing sexual abuse victims?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: Proper use of Miranda and Garrity warnings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: Sexual abuse evidence collection in confinement settings?(N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
	Does this specialized training include: The criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a)).	yes
115.234 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of criminal or administrative sexual abuse investigations. See 115.221(a).)	yes

115.235 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	na
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	na
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	na
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in: How and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	na
115.235 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency does not employ medical staff or the medical staff employed by the agency do not conduct forensic exams.)	na
115.235 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	na

115.235 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.231? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.)	na
	Do medical and mental health care practitioners contracted by and volunteering for the agency also receive training mandated for contractors and volunteers by §115.232? (N/A for circumstances in which a particular status (employee or contractor/volunteer) does not apply.)	na
115.241 (a)	Screening for risk of victimization and abusiveness	
	Are all residents assessed during an intake screening for their risk of being sexually abused by other residents or sexually abusive toward other residents?	yes
	Are all residents assessed upon transfer to another facility for their risk of being sexually abused by other residents or sexually abusive toward other residents?	yes
115.241 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.241 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes

115.241 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The age of the resident?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The physical build of the resident?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the resident about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the resident is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: Whether the resident has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess residents for risk of sexual victimization: The resident's own perception of vulnerability?	yes

115.241 (e)	Screening for risk of victimization and abusiveness	
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior acts of sexual abuse?	yes
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: prior convictions for violent offenses?	yes
	In assessing residents for risk of being sexually abusive, does the initial PREA risk screening consider, when known to the agency: history of prior institutional violence or sexual abuse?	yes
115.241 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the resident's arrival at the facility, does the facility reassess the resident's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.241 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess a resident's risk level when warranted due to a: Referral?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Request?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Incident of sexual abuse?	yes
	Does the facility reassess a resident's risk level when warranted due to a: Receipt of additional information that bears on the resident's risk of sexual victimization or abusiveness?	yes
115.241 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that residents are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes

115.241 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the resident's detriment by staff or other residents?	yes
115.242 (a)	Use of screening information	
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.241, with the goal of keeping separate those residents at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.242 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each resident?	yes

115.242 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex resident to a facility for male or female residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns residents to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex residents, does the agency consider on a case-by-case basis whether a placement would ensure the resident's health and safety, and whether a placement would present management or security problems?	yes
115.242 (d)	Use of screening information	
	Are each transgender or intersex resident's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.242 (e)	Use of screening information	
	Are transgender and intersex residents given the opportunity to shower separately from other residents?	yes

115.242 (f)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: lesbian, gay, and bisexual residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: transgender residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex residents, does the agency always refrain from placing: intersex residents in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I residents pursuant to a consent decree, legal settlement, or legal judgement.)	yes
115.251 (a)	Resident reporting	
	Does the agency provide multiple internal ways for residents to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for residents to privately report: Retaliation by other residents or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for residents to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes

115.251 (b)	Resident reporting	
	Does the agency also provide at least one way for residents to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward resident reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the resident to remain anonymous upon request?	yes
115.251 (c)	Resident reporting	
	Do staff members accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Do staff members promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.251 (d)	Resident reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of residents?	yes
115.252 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address resident grievances regarding sexual abuse. This does not mean the agency is exempt simply because a resident does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes

115.252 (b)	Exhaustion of administrative remedies	
	Does the agency permit residents to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring a resident to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.252 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: a resident who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
	Does the agency ensure that: such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
115.252 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by residents in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency determines that the 90-day timeframe is insufficient to make an appropriate decision and claims an extension of time (the maximum allowable extension is 70 days per 115.252(d)(3)), does the agency notify the resident in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the resident does not receive a response within the time allotted for reply, including any properly noticed extension, may a resident consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes

115.252 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow residents, staff members, family members, attorneys, and outside advocates, permitted to assist residents in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of residents? (If a third party files such a request on behalf of a resident, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the resident declines to have the request processed on his or her behalf, does the agency document the resident's decision? (N/A if agency is exempt from this standard.)	yes

115.252 (f)	Exhaustion of administrative remedies	
	Has the agency established procedures for the filing of an emergency grievance alleging that a resident is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging a resident is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the resident is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.252 (g)	Exhaustion of administrative remedies	
	If the agency disciplines a resident for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the resident filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes

115.253 (a)	Resident access to outside confidential support services	
	Does the facility provide residents with access to outside victim advocates for emotional support services related to sexual abuse by giving residents mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility enable reasonable communication between residents and these organizations, in as confidential a manner as possible?	yes
115.253 (b)	Resident access to outside confidential support services	
	Does the facility inform residents, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.253 (c)	Resident access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide residents with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.254 (a)	Third party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of a resident?	yes

115.261 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against residents or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
115.261 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, do staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.261 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform residents of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.261 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes

115.261 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.262 (a)	Agency protection duties	
	When the agency learns that a resident is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the resident?	yes
115.263 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that a resident was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.263 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
115.263 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.263 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes

115.264 (a)	Staff first responder duties	
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that a resident was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.264 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.265 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes

115.266 (a)	Preservation of ability to protect residents from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any residents pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.267 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all residents and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other residents or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.267 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for resident victims or abusers, removal of alleged staff or resident abusers from contact with victims, and emotional support services for residents or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes

115.267 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of residents who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by residents or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any resident disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency:4. Monitor resident housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor resident program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignment of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes

115.267 (d)	Agency protection against retaliation	
	In the case of residents, does such monitoring also include periodic status checks?	yes
115.267 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.271 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a).)	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.221(a).)	yes
115.271 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.234?	yes
115.271 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes

115.271 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.271 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as resident or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring a resident who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.271 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.271 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.271 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes

115.271 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.271(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.271 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the facility or agency does not provide a basis for terminating an investigation?	yes
115.271 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.221(a).)	yes
115.272 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.273 (a)	Reporting to residents	
	Following an investigation into a resident's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the resident as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
115.273 (b)	Reporting to residents	
	If the agency did not conduct the investigation into a resident's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the resident? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes

115.273 (c)	Reporting to residents	
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the resident's unit?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following a resident's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.273 (d)	Reporting to residents	
	Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following a resident's allegation that he or she has been sexually abused by another resident, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes

115.273 (e)	Reporting to residents	
	Does the agency document all such notifications or attempted notifications?	yes
115.276 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.276 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.276 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.276 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies, unless the activity was clearly not criminal?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes

115.277 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with residents?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.277 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with residents?	yes
115.278 (a)	Disciplinary sanctions for residents	
	Following an administrative finding that a resident engaged in resident-on-resident sexual abuse, or following a criminal finding of guilt for resident-on-resident sexual abuse, are residents subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.278 (b)	Disciplinary sanctions for residents	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the resident's disciplinary history, and the sanctions imposed for comparable offenses by other residents with similar histories?	yes
115.278 (c)	Disciplinary sanctions for residents	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether a resident's mental disabilities or mental illness contributed to his or her behavior?	yes

115.278 (d)	Disciplinary sanctions for residents	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending resident to participate in such interventions as a condition of access to programming and other benefits?	yes
115.278 (e)	Disciplinary sanctions for residents	
	Does the agency discipline a resident for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.278 (f)	Disciplinary sanctions for residents	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
115.278 (g)	Disciplinary sanctions for residents	
	Does the agency always refrain from considering non-coercive sexual activity between residents to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between residents.)	yes
115.282 (a)	Access to emergency medical and mental health services	
	Do resident victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes

115.282 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.262?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.282 (c)	Access to emergency medical and mental health services	
	Are resident victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.282 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.283 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all residents who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.283 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.283 (c)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes

115.283 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are resident victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes
115.283 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.283(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if “all-male” facility. Note: in “all-male” facilities, there may be residents who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes
115.283 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are resident victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.283 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.283 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility attempt to conduct a mental health evaluation of all known resident-on-resident abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners?	yes

115.286 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.286 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.286 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.286 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.286(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes

115.286 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
115.287 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.287 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.287 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.287 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.287 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its residents? (N/A if agency does not contract for the confinement of its residents.)	na
115.287 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	na

115.288 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.287 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes
115.288 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.288 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.288 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.289 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.287 are securely retained?	yes

115.289 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.289 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.289 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.287 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	yes
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na

115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with residents?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates, residents, and detainees permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403 (f)	Audit contents and findings	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes